Nuclear Science NEA/NSC/DOC(2013)7 May 2013

www.oecd-nea.org

Benchmarks for Uncertainty Analysis in Modelling (UAM) for the Design, Operation and Safety Analysis of LWRs

Volume I: Specification and Support Data for Neutronics Cases (Phase I)

Unclassified NEA/NSC/DOC(2013)7

Unclassified

Unclassified

NEA/NSC/DOC(2013)7

NEA/NSC/DOC(2013)7

Organisation de Coopération et de Développement Économiques Organisation for Economic Co-operation and Development **20-Nov-2013**

English - Or. English

NUCLEAR ENERGY AGENCY NUCLEAR SCIENCE COMMITTEE

Cancels & replaces the same document of 30 May 2013

Benchmarks for uncertainty analysis in modelling (UAM) for the design, operation and safety analysis of LWRs

Volume I: Specification and Support Data for Neutronics Cases (Phase I)

Please note that some data values have been updated in Table 13. For your reference, please see Table 13 on page 36.

JT03348912

Complete document available on OLIS in its original format *This document and any map included herein are without prejudice to the status of or sovereignty over any territory, to the delimitation of international frontiers and boundaries and to the name of any territory, city or area.*

NUCLEAR ENERGY AGENCY

NUCLEAR SCIENCE COMMITTEE NEA COMMITTEE ON SAFETY OF NUCLEAR INSTALLATIONS

BENCHMARKS FOR UNCERTAINTY ANALYSIS IN MODELLING (UAM) FOR THE DESIGN, OPERATION AND SAFETY ANALYSIS OF LWRs

Volume I: Specification and Support Data for Neutronics Cases (Phase I)

Version 2.1 (Final Specifications)

K. Ivanov, M. Avramova, S. Kamerow

I. Kodeli, E. Sartori, E. Ivanov, O. Cabellos

May 2013

OECD Nuclear Energy Agency

ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT

The OECD is a unique forum where the governments of 34 democracies work together to address the economic, social and environmental challenges of globalisation. The OECD is also at the forefront of efforts to understand and to help governments respond to new developments and concerns, such as corporate governance, the information economy and the challenges of an ageing population. The Organisation provides a setting where governments can compare policy experiences, seek answers to common problems, identify good practice and work to co-ordinate domestic and international policies.

The OECD member countries are: Australia, Austria, Belgium, Canada, Chile, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Israel, Italy, Japan, Luxembourg, Mexico, the Netherlands, New Zealand, Norway, Poland, Portugal, the Republic of Korea, the Slovak Republic, Slovenia, Spain, Sweden, Switzerland, Turkey, the United Kingdom and the United States. The European Commission takes part in the work of the OECD.

OECD Publishing disseminates widely the results of the Organisation's statistics gathering and research on economic, social and environmental issues, as well as the conventions, guidelines and standards agreed by its members.

> *This work is published on the responsibility of the OECD Secretary-General. The opinions expressed and arguments employed herein do not necessarily reflect the official views of the Organisation or of the governments of its member countries.*

NUCLEAR ENERGY AGENCY

The OECD Nuclear Energy Agency (NEA) was established on 1 February 1958. Current NEA membership consists of 31 countries: Australia, Austria, Belgium, Canada, the Czech Republic, Denmark, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Japan, Luxembourg, Mexico, the Netherlands, Norway, Poland, Portugal, the Republic of Korea, the Russian Federation, the Slovak Republic, Slovenia, Spain, Sweden, Switzerland, Turkey, the United Kingdom and the United States. The European Commission also takes part in the work of the Agency.

The mission of the NEA is:

- to assist its member countries in maintaining and further developing, through international co-operation, the scientific, technological and legal bases required for a safe, environmentally friendly and economical use of nuclear energy for peaceful purposes, as well as
- to provide authoritative assessments and to forge common understandings on key issues, as input to government decisions on nuclear energy policy and to broader OECD policy analyses in areas such as energy and sustainable development.

Specific areas of competence of the NEA include the safety and regulation of nuclear activities, radioactive waste management, radiological protection, nuclear science, economic and technical analyses of the nuclear fuel cycle, nuclear law and liability, and public information.

The NEA Data Bank provides nuclear data and computer program services for participating countries. In these and related tasks, the NEA works in close collaboration with the International Atomic Energy Agency in Vienna, with which it has a Co-operation Agreement, as well as with other international organisations in the nuclear field.

This document and any map included herein are without prejudice to the status of or sovereignty over any territory, to the delimitation of international frontiers and boundaries and to the name of any territory, city or area.

Corrigenda to OECD publications may be found online at: *www.oecd.org/publishing/corrigenda*.

© OECD 2013

You can copy, download or print OECD content for your own use, and you can include excerpts from OECD publications, databases and multimedia products in your own documents, presentations, blogs, websites and teaching materials, provided that suitable acknowledgment of the OECD as source and copyright owner is given. All requests for public or commercial use and translation rights should be submitted to *rights@oecd.org*. Requests for permission to photocopy portions of this material for public or commercial use shall be addressed directly to the Copyright Clearance Center (CCC) at *info@copyright.com* or the Centre français d'exploitation du droit de copie (CFC) *contact@cfcopies.com*.

Foreword

In recent years there has been an increasing demand from nuclear research, industry, safety and regulation for best-estimate predictions to be provided with their confidence bounds. Consequently an "indepth" discussion on "Uncertainty Analysis in Modelling" was organised at the 2005 OECD/NEA Nuclear Science Committee (NSC) meeting, which led to a proposal for launching an Expert Group on "Uncertainty Analysis in Modelling" and endorsing the organisation of a workshop with the aim of defining future actions and a programme of work.

As a result the NEA/OECD Uncertainty Analysis in Modelling (UAM) workshop took place in Pisa, Italy on April 28-29, 2006. The major outcome of the workshop was to prepare a benchmark work programme with steps (exercises) that would be needed to define the uncertainty and modelling tasks. The other proposals made during the meeting were to be incorporated under the different steps (exercises) within the overall benchmark framework for the development of uncertainty analysis methodologies for multi-physics (coupled) and multi-scale simulations.

Following the results of the UAM-2006 workshop, the OECD/NEA NSC at its June 2006 meeting endorsed the creation of an Expert Group on Uncertainty Analysis methods in Modelling (EGUAM) under the auspices of the Working Party on Scientific Issues in Reactor Systems (WPRS). Since the Expert Group addresses multi-scale/multi-physics aspects of uncertainty analysis, it works in close co-ordination with the benchmark groups on coupled neutronics/thermal-hydraulics simulations and on coupled coreplant problems. It also co-ordinates its activities with the Group on Analysis and Management of Accidents (GAMA) of the Committee on Safety of Nuclear Installations (CSNI). The Expert Group has the following mandate:

- 1. To elaborate a state-of-the-art report on current status and needs of sensitivity and uncertainty analysis (SA/UA) in modelling, with emphasis on multi-physics (coupled) and multi-scale simulations.
- 2. To identify the opportunities for international co-operation in the uncertainty analysis area that would benefit from co-ordination by the NEA/NSC.
- 3. To create a roadmap along with a schedule and organisation for the development and validation of methods and codes required for uncertainty analysis including the benchmarks adequate to meet those goals.

The NEA/NSC has endorsed this activity to be undertaken with the Pennsylvania State University (PSU) as the main co-ordinator and host with the assistance of the Scientific Board. The NSC/NEA has renewed and updated the mandate of the EGUAM at the beginning of February 2011. The expert group will provide advice to the WPRS and the nuclear community on the scientific development needs (data and methods, validation experiments, scenario studies) of sensitivity and uncertainty methodology for modelling of different reactor systems and scenarios.

The main activity will be focused on uncertainties in modelling LWR transients. In this context the objectives will be:

- a) To determine modelling uncertainties for reactor systems under steady-state and transient conditions, quantifying the impact of uncertainties for each type of calculation in the multi-physics analysis, i.e.
	- a. neutronics calculations;
	- b. thermal hydraulics modelling;
	- c. fuel behaviour.
- b) For each of these types of calculation the major sources of uncertainty will be determined, arising from:
	- a. data (e.g. nuclear data, geometry, materials);
- b. numerical methods;
- c. physical models;
- c) To develop and test methods for combining the above sources of uncertainty for each type of calculation so as to yield uncertainty assessment for the coupled multi-physics analyses;
- d) To develop a benchmark framework, which combines information from available integral facility and NPP experimental data with analytical and numerical benchmarking;
- e) Where available, experimental data will be used to test the individual types of calculation as well coupled multi-physics simulations.

To summarise, in addition to LWR best-estimate calculations for design and safety analysis, the modelling aspects of Uncertainty Analysis (UA) and Sensitivity Analysis (SA) are to be further developed and validated on scientific grounds in support of their performance. There is a need for efficient and powerful UA and SA methods suitable for such complex coupled multi-physics and multi-scale simulations. The proposed benchmark sequence will address this need by integrating the expertise in reactor physics, thermal-hydraulics and reactor system modelling as well as uncertainty and sensitivity analysis, and will contribute to the development and assessment of advanced/optimised uncertainty methods for use in best-estimate reactor simulations. Such an effort can be undertaken within the framework of a programme of international co-operation that would benefit from the co-ordination of the NEA/NSC and from interfacing with the CSNI activities. More information can be found at: http://www.oecd-nea.org/science/wprs/egrsltb/UAM/.

Version 2.0 of the Volume I: Specification and Support Data for Neutronics Cases (Phase I) of the OECD LWR UAM benchmark is based on Version 1.0 (issued in December 2007) and incorporates suggestions and corrections proposed by the benchmark participants at the following meetings:

- UAM-2 workshop, held in Garching, Germany, 2-4 April 2008;
- UAM-3 workshop, held in Pennsylvania, USA, 29 April-1 May 2009;
- UAM-4 workshop, held in Pisa, Italy, 14-16 April 2010;
- UAM-5 workshop, held in Stockholm, Sweden, 13-15 April 2011.

The information in this document is subject to future changes in anticipation of new releases of covariance data libraries, new findings or modelling tools. For reference purposes, the information and suggestions from each workshop are only added to the previous Version 1.0 without significant deletions of text from the earlier version.

This publication (Version 2.1) has incorporated the following changes from the previously released specifications (Version 2.0).

- Figure 11 (GEN-III Type 3): In the legend of the figure, the enrichment of the UOX fuel rods (white) has been changed from 4.2% to 3.2% in order to match the figure's caption which had correctly stated the enrichment as 3.2%. The position of the two Gd pins (orange) has been changed in order to achieve assembly symmetry. Horizontal nomenclature has been updated in order to avoid the use of the letters "I" and "O" and confusion with the numbers "1" and "0".
- Figure 26: The position of the bottom-most right-hand-side control rod bank 1 has been corrected in order to achieve core symmetry.

Acknowledgements

The authors would like to thank the ORNL team - Cecil V. Parks, Stephen M. Bowman, Mark L. Williams, Jess C. Gehin; James R. Felty from DOE and J Blair Briggs from INL for arranging the release of the SCALE 5.1 and SCALE 6.0 covariance libraries for the purposes of the OECD LWR UAM benchmark. Their support and help in establishing and carrying out this benchmark are invaluable.

We wish to acknowledge here the special contributions provided by Alain Santamarina and Claire Vaglio-Gaudard from CEA on the PWR Generation III assembly and core models: UOX core and MOX core specifications.

Special appreciation goes to the report reviewers, which are the members the Scientific Board of UAM Expert Group:

Scientific Board and Technical Committee

The authors wish to express their sincere appreciation for the outstanding support offered by Professor F. D'Auria (U-Pisa), Dr. S. Langenbuch (GRS), and E. Royer (CEA) by preparing a document on the expected impact and benefits of the OECD LWR UAM benchmark activity for LWR safety and licensing Technology Relevance of the "Uncertainty Analysis In Modelling" Project for Nuclear Reactor Safety, NEA/NSC/DOC (2007)15.

This publication is dedicated to the memory of Professor José María Aragonés Beltrán and graduate student Sophia Kamerow. Professor José Aragonés was Full Professor and Chair in Nuclear Physics, Professor of Mechanical Engineering in the Department of Nuclear Engineering (DIN), College of Industrial Engineering (ETSI-Industriales), Polytechnic University of Madrid (UPM). He was Head of the R&D Group for Nuclear Analysis at the UPM, Delegate for Spain in the OECD/NEA Nuclear Science Committee, Member of the International Academy of Nuclear Energy (INEA), Member of the Scientific Council of the Directorate on Nuclear Energy (DEN) of CEA, France, and Executive Committee member in European Projects: NURESIM and NURISP. He has contributed and supported strongly the development of OECD/NEA coupled code and uncertainty analysis benchmarks.

José María Aragonés Beltrán 1947-2010

Sophia Kamerow was a graduate student at Nuclear Engineering Programme at the Pennsylvania State University (PSU), US. She completed both her BS and MS degrees at PSU and she was on her way to obtain a PhD in Nuclear Engineering at PSU. She was the graduate student working on the Phase I of the OECD LWR UAM benchmark activity and she has contributed to both preparing the Specifiaction on Phase I and the analysis of reference and partcipants'results submitted for the different exercises of Phase I.

Sophia Kamerow 1967-2011

TABLE OF CONTENTS

List of Figures

List of Tables

Chapter 1: Introduction

In addition to the establishment of light water reactor (LWR) best-estimate calculations for design and safety analysis, understanding uncertainties is important for introducing appropriate design margins and deciding where additional efforts should be undertaken to reduce uncertainties. The need of uncertainty evaluations for LWR best-estimate calculations was discussed and addressed within the framework of the CRISSUE-S international European Union (EU) Project [1] along with the identification of sources of uncertainties in coupled neutronics/thermal-hydraulics simulations. For this reason, the modelling aspects of Uncertainty Analysis (UA) and Sensitivity Analysis (SA) are to be further developed and validated on scientific grounds in support of their performance. In line with recent meetings the international expert community in reactor physics, thermal-hydraulics, and uncertainty and sensitivity analysis, has decided that a first step in this direction is to define an OECD benchmark for Uncertainty Analysis in Modelling (UAM) for design, operation, and safety analysis of LWRs [2] [3] [4]. The expected impact and benefits of the OECD LWR UAM benchmark activity for LWR safety and licensing are summarised in [5]. This benchmark project is challenging and responds to needs of estimating confidence bounds for results from simulations and analysis in real applications.

Reference LWR systems and scenarios for coupled code analysis are defined to study the uncertainty effects for all stages of the system calculations. Measured data from plant operation are available for the chosen scenarios. The existing OECD/NEA/NSC coupled code transient benchmarks – such as BWR Turbine Trip (TT) [6], PWR Main Steam Line Break (MSLB) [7], VVER-1 000 (V 1 000) Coolant Transients (CT) [8], BWR Full Bundle Test (BFBT) [9], PWR Sub-channel and Bundle Test (PSBT) [10] are used as part of the framework for adding uncertainty analysis methodologies in the best-estimate modelling for design and operation of LWRs. Such an approach facilitates the benchmark activities since many organisations have already developed input decks and tested their codes on the above-mentioned coupled code benchmarks. From these OECD LWR transient benchmark problems, the Peach Bottom 2 (PB-2) BWR Turbine Trip (TT) is proposed as the first reference system-scenario, although provisions are made to address the other LWR systems and scenarios such as TMI-1 PWR MSLB, PWR-RIA-ATWS, BWR-CRDA-ATWS (with boron modelling), VVER-1 000 CT, etc. The Peach Bottom 2 BWR Turbine Trip Benchmark is well documented not only in the OECD/NEA/NRC BWR TT benchmark specifications [6] but also in a series of EPRI [11] [12] and PECo reports [13], which include design, operation, and measured steady-state and transient neutronics and thermal-hydraulics data. The fuel cycle depletion, steady-state and transient measured data, available at the integral parameter level and the local distribution level, are very important features of the Peach Bottom 2 BWR Turbine Trip. Integration with the OECD/NEA/NRC BWR BFBT and PSBT benchmarks and the uncertainty analysis exercises performed in their framework will be made. The integration of the PB-2 BWR turbine trip will also be extended to the on-going NEA/CSNI BEMUSE-3 benchmark through the NEA internal co-operation between the NSC and CSNI Committees.

1.1 Objective

The proposed technical approach is to establish a benchmark for uncertainty analysis in best-estimate modelling and coupled multi-physics and multi-scale LWR analysis, using as bases a series of well-defined problems with complete sets of input specifications and reference experimental data. The objective is to determine the uncertainty in LWR system calculations at all stages of a coupled reactor physics/thermal hydraulics calculation. The full chain of uncertainty propagation from basic data, engineering uncertainties, across different scales (multi-scale), and physics phenomena (multi-physics) is tested on a number of benchmark exercises for which experimental data is available and for which the power plant details have been released.

The principal objectives are: a) to subdivide the complex system/scenario into several steps or exercises, each of which can contribute to the total uncertainty of the final coupled system calculation, b) to identify input, output and assumptions for each step, c) to calculate the resulting uncertainty in each step; d) to propagate the uncertainties in an integral systems simulation for which high-quality plant experimental data exist for the total assessment of the overall computer code uncertainty. As part of this effort, the development and assessment of different methods or techniques to account for the uncertainties in the calculations will be investigated and reported to the participants.

In summary, the objective of the proposed work is to define, co-ordinate, conduct, and report an international benchmark for uncertainty analysis in best-estimate coupled code calculations for design, operation, and safety analysis of LWRs. The title of this benchmark is: "OECD UAM LWR Benchmark".

The experimental data are used as much as possible (two "interactions" with "known" experimental data are indicated above but others can be added). The benchmark team identifies Input (I), Output (O) or target of the analysis, as well as provides guidance on assumptions for each step and propagated uncertainty parameters (U). The uncertainty from one step should be propagated to the others (as much as feasible and realistic).

1.2 Definition of benchmark phases and exercises

The above-described approach is based on the introduction of 9 steps (exercises), which allows for developing a benchmark framework which mixes information from the available integral facility and NPP experimental data with analytical and numerical benchmarking. Such an approach compares and assesses current and new uncertainty methods on representative applications and simultaneously benefits from different methodologies to arrive at recommendations and guidelines. These 9 steps (exercises) are carried out in 3 phases as follows:

Phase I (Neutronics Phase)

- Exercise I-1: "Cell Physics" focused on the derivation of the multi-group microscopic cross-section libraries and their uncertainties.
- Exercise I-2: "Lattice Physics" focused on the derivation of the few-group macroscopic cross-section libraries and their uncertainties.
- Exercise I-3: "Core Physics" focused on the core steady-state stand-alone neutronics calculations and their uncertainties.

Phase II (Core Phase)

- Exercise II-1: "Fuel Physics": Fuel thermal properties relevant to steady-state and transient performance.
- Exercise II-2: "Time-Dependent Neutroncs": Neutron kinetics and fuel depletion stand-alone performance.
- Exercise II-3: "Bundle Thermal-Hydraulics": Thermal-hydraulic fuel bundle performance.

Phase III (System Phase)

- Exercise III-1: "Core Multi-Physics" Coupled neutronics/thermal-hydraulics core performance (coupled steady-state, coupled depletion, and coupled core transient with boundary conditions).
- Exercise III-2: "System Thermal-Hydraulics" Thermal-hydraulics system performance.
- Exercise III-3: "Coupled Core-System" Coupled neutronics kinetics thermal-hydraulic core/thermalhydraulic system performance.

• Exercise III-4: "Comparison of Best Estimate Plus Uncertainty (BEPU) vs. Conservative Calculations".

1.3 Content of this document

Separate specifications will be prepared for each phase in order to allow participation in the full phase or only in a subset of the exercises. Boundary conditions and necessary input information are provided by the benchmark team. The intention is to follow the calculation scheme for coupled calculations for LWR design and safety analysis established in the nuclear power generation industry and regulation. This specification document covers Phase I, which includes the first 3 exercises (neutronics) as follows:

Chapter 2 of this document provides the definition of Exercise I-1.

Chapter 3 provides the definition of Exercise I-2.

Chapter 4 provides the definition of Exercise I-3.

Chapter 5 specifies the requested output for the three exercises.

Chapter 6 provides summary and conclusions.

This phase is focused on understanding uncertainties in prediction of key reactor core parameters associated with LWR stand-alone neutronics core simulation. Such uncertainties occur due to input data uncertainties, modelling errors, and numerical approximations. Input data for core neutronics calculations primarily include the lattice averaged few group cross-sections. Three main LWR types are selected, based on previous benchmark experience and available data:

- \bullet PWR (TMI-1)
- BWR (Peach Bottom-2)
- VVER-1 000 (Kozloduy-6, Kalinin-3)

Representative designs for Generation 3 PWR (GEN-III) are added to Phase I in order to address the modelling issues and the likely increased prediction uncertainties related to the designs of GEN-III LWR currently being built, both with UOX and MOX fuels [14].

The SNEAK (fast core) problem) [15] is added as an optional test case to Exercise I-3 since it has a unique set of experimental data for β_{eff} uncertainties and can be used as an example on how to calculate uncertainty in β_{eff} . The two high-quality reactor physics benchmark experiments, SNEAK-7A & 7B (Karlsruhe Fast Critical Facility) are part of the International Reactor Physics Benchmark Experiments (IRPhE) database. The objectives of adding the SNEAK test problem to Exercise I-3 are as follows:

- The PWR, BWR and VVER cases are very similar in spectrum; a fast reactor case based on a wellevaluated experiment would broaden the verification of methods for Phase I of UAM and is a link to reactors of a next generation.
- Fast reactor benchmarks are proposed to test and compare state-of-the-art cross-section sensitivity and uncertainty codes.
- The benchmark provides a unique set of experimental data on delayed neutrons effective fraction; it could be useful in analysis of components of a β_{eff} uncertainty.
- The analysis of the experimental set could bring a better comprehension on validity of covariance matrices to be applied.

In principle, the sources of Input (I) uncertainties in computer code simulations are identified as:

uncertainties of input data;

- model limitations:
- approximations in the numerical solution;
- nodalisation;
- homogenisation approaches;
- imperfect knowledge of boundary and initial conditions.

For each exercise it is important to identify which new input uncertainties are taken into account and which input uncertainties are propagated from the previous exercise. In Phase I of the benchmark the input uncertainties are specified as follows: best-estimate values for input parameters supplemented by the variance-covariance matrices (utilised for cross-section uncertainties), and for other input uncertainties – probability distribution functions (PDF) and associated parameters. Other important parameters to be defined are the Output (O) uncertainties and propagated Uncertainty parameters (U) for each exercise. This task is directly related to the objective of each exercise. The Output (O) uncertainties are for specified output parameters for each exercise, used to test (evaluate) the utilised uncertainty method. The propagated Uncertainty parameters (U) are output parameters, which are selected to be propagated further through the follow-up exercises in order to calculate the overall resulting uncertainty. The Phase I of the benchmark adopts the following approach. For Output (O) uncertainties – requested is the best-estimate value of the parameter with associated uncertainties where the associated uncertainties are in terms of standard deviation. For propagated Uncertainty parameters (U) – requested is the best-estimate value of the parameter with associated uncertainties where the associated uncertainties are variance-covariance matrices.

Sometimes simple measures of propagation of uncertainties are necessary to allow for comparative analysis – such a simple measure is the concept of "one group effective cross-section uncertainties".

As a source of their cross-section data the participants can use the Nuclear Data Libraries (NDL), which are normally used in conjunction with their lattice physics codes. The three major libraries (ENDF, JEFF and JENDL) are possible candidates. For cross-section covariance data the 44-group covariance library from SCALE-6.0^{[1](#page-17-0)} is proposed. In addition to the covariance matrices, a utility programme for interpolating or collapsing from a given group structure to another one is provided for participants' use. Participants can choose any energy multi-group structure according to the input requirements of their lattice code to be utilised. For cross-section generation any type of lattice solver can be used. For core calculations the established two-group energy structure for LWR analyses is proposed as the major part of the benchmark activities. However, provisions are made for utilisation of other few-group structures if the participants want to investigate them. The Monte-Carlo method will provide reference solutions for the test problems of each Exercise of Phase I.

 \overline{a}

 1 The covariance data libraries for the recent release SCALE-6.1 are the same as for SCALE-6.0

Chapter 2: Definition of Exercise I-1: cell physics

The Exercise I-1 is entitled "Cell Physics", and is focused on derivation of the multi-group microscopic cross-section libraries. Its objective is to address the uncertainties due to the basic nuclear data as well as the impact of processing the nuclear and covariance data, selection of multi-group structure, and self-shielding treatment. The intention for Exercise I-1 is to propagate the uncertainties in evaluated Nuclear Data Libraries – NDL – (microscopic pointwise cross-sections) into multi-group microscopic cross-sections used as an input by their lattice physics codes. The participants can use any of the major NDLs such as Evaluated Nuclear Data Files (ENDF) [16] [17] [18], Joint European Fission and Fusion files (JEFF) [19] [20], Japanese Evaluated Nuclear Data Library (JENDL) [21], and TALYS-based Evaluated Nuclear Data Library (TENDL)-2008 [22]. The evaluation of nuclear data induced uncertainty is possible by the use of nuclear data covariance information. The development of nuclear data covariance files is in progress in the major NDLs. For the purposes of the OECD LWR UAM benchmark the availability of covariance data is important for all relevant nuclides (actinides, fission products, absorbers and burnable poisons, structural materials, etc.), present in the reactor core and reflector regions of LWRs, covering the entire energy range of interest (from 0 to 10 MeV), and for all relevant reaction cross-section types.

2.1 Status of nuclear data covariance information

In the major NDLs standards and formats are in place to permit the communication of estimated uncertainties in the evaluated cross-section data. The evaluation of nuclear data induced uncertainty is possible by the use of nuclear covariance data. By including the uncertainty or covariance information, the analyst can propagate cross-section data uncertainties through sensitivity studies to the final calculated quantities of interest. The covariance data files provide the estimated variance for the individual data as well as any correlations that may exist. Availability of covariance evaluations is very limited in the NDLs; however, nuclear data covariance files for some additional materials are being developed for major NDLs. The uncertainty evaluations are developed utilising information from experimental cross-section data, integral data (critical assemblies), and nuclear models and theory. The covariance information in the NDLs is given with respect to pointwise cross-section data and/or with respect to resonance parameters. Methods to approximate uncertainty data have also been used to cover nuclides for which covariance data in NDLs were not available thus producing a rather complete set of "low-fidelity" covariance data. These are not included in the official NDLs, but this approximate set of data is distributed for sensitivity and uncertainty applications with codes such as SCALE.

As mentioned above the evaluated nuclear data (α) are determined from experiments with uncertainty, which means that different values of $\hat{\alpha}$ are possible, with varying degrees of probability, p[$\hat{\alpha}$]d $\hat{\alpha}$. The following definitions are introduced:

α

- Evaluated data, α =expected value of $\hat{\alpha}$: $\alpha \rightarrow E[\hat{\alpha}] = \int \hat{\alpha} p(\hat{\alpha}) d\hat{\alpha}$ $[\hat{\alpha}] = \int \hat{\alpha} p(\hat{\alpha}) d\hat{\alpha}$ $\rightarrow E[\hat{\alpha}] = \int_{\hat{\alpha}} \hat{\alpha} p(\hat{\alpha}) d\hat{\beta}$
- Variance in evaluated data $\text{Var}(\alpha) = E[\Delta \alpha^2] = E[(\hat{\alpha} \alpha)^2]$
- Standard deviation $\sigma_{\alpha} = \sqrt{Var(\alpha)}$
- Covariance in two data parameters $(\alpha_1, \alpha_2) \equiv \text{Cov}(\alpha_1, \alpha_2) = \text{E}[\Delta \alpha_1, \Delta \alpha_2]$

Cov $(\alpha_1, \alpha_2) = (\sigma_{\alpha_1} c_{1,2} \sigma_{\alpha_2})$ Where the correlation coefficient = C_{1,2} ∈ [-1,1]

• Covaiance matrix $\overline{}$ $\overline{}$ $\overline{}$ $\overline{}$ $\overline{}$ I I I L I $\equiv C_{\alpha\alpha} =$ $Cov(\alpha_3, \alpha_1)$ $Cov(\alpha_3, \alpha_2)$ $Var(\alpha_3)$ $Cov(\alpha_2, \alpha_1)$ Var (α_2) Cov (α_2, α_3) $Var(\alpha_1)$ $Cov(\alpha_1, \alpha_2)$ $Cov(\alpha_1, \alpha_3)$ $\mathcal{C}_{0}^{(n)}$ $3, u_1$ $\cup v(u_3, u_2)$ $\cup u_1(u_3)$ 2, u_1 val u_2 cov u_2 , u_3 1) $\text{cov}(u_1, u_2)$ $\text{cov}(u_1, u_3)$ (α_3, α_1) $Cov(\alpha_3, \alpha_2)$ $Var(\alpha_3)$ (a_2, a_1) Var (a_2) Cov (a_1, a_2) *α*₁) $Cov(a_1, a_2)$ $Cov(a_1, a_2)$ α_1) Covi α_2 , α α_1 var (α_2) Cov (α_2, α) α_{2} Covi α_{1} α αα

The uncertainty information in the evaluated libraries is included in files called "covariance files" (files for nuclear variance and covariance data) within the ENDF-6 formalism. The following covariance files are defined:

- Data covariances obtained from parameter covariances and sensitivities (MF30);
- Data covariances for number of neutrons per fission (MF31);
- Data covariances for resonance parameters (MF32);
- Data covariances for reaction cross-sections (MF33);
- Data covariances for angular distributions (MF34);
- Data covariances for energy distributions (MF35);
- Data covariances for radionuclide production yields (MF39);
- Data covariances for radionuclide production cross-sections (MF40).

To propagate nuclear data uncertainties in reactor core calculation files MF31, MF32, and MF33 are the only data currently available within ENDF/B and other NDL's. For example, for each isotope and type of reaction, quantities in MF33 are the covariances of the expected cross-section values, providing a measure of the accuracy and correlations of the evaluated cross-sections. Please note that the MF33 uncertainties often refer to the *complete* uncertainties in evaluated cross-sections, not just uncertainties in the MF3 data. For example, uncertainties in the resonance range of a cross-section may be described by file 33 whenever file 32 data is not present. The following information is needed for processing uncertainties into the form of full covariance matrices:

• Stored quantities in MF3 (or MF 10) - expected value of a physical quantity:

$$
\langle x \rangle = \int x f(x) dx \tag{1}
$$

Where $f(x)$ is the density function averaged over all variables other than *x*

Stored quantities in MF33 - relative and absolute covariances of the $2nd$ degree moments of the joint density function:

$$
Cov(x, y) = \iint (x - \langle x \rangle)(y - \langle y \rangle) f(x, y) dx dy
$$
 (2)

Where $f(x, y)$ is the density function averaged over all variables other than x and y. Please note that when $x = y$ then $Cov(x, y) = Cov(x, x) = Var(x)$. In this way the uncertainties are presented in terms of variances and covariances (variance-covariance matrices).

The reasons for existing correlations in nuclear data uncertainties are:

Energy correlations as a result of: -physics; -systematic experimental uncertainties; -random experimental uncertainties;

- Reaction correlations as a result of:
	- combining or subtracting two reactions to obtain another;
- Material correlations as a result of:
	- nuclear data is measured as ratio to data for some standard materials.

The total covariance matrix for a given energy-dependent cross-section (by isotope and type of reaction) is made up of the contribution of single covariance matrices, each one defining a type of correlation (between energy intervals or correlation with a different cross-section).

Review of the compilation, processing and analysis of uncertainty data for neutron induced reactions available in the most recent different internationally distributed nuclear data libraries have been performed for the purposes of the UAM LWR benchmark activities. The latest evaluated nuclear data files available from the NEA/OECD and NNDC-BNL are:

- 1) JENDL-3.3 (2002). The number of nuclides in this NDL is 337 and the covered incident neutron energy range is from 10^{-5} eve to 20 MeV. In JENDL-3.3, covariances are included for 20 nuclides. The physical quantities for which covariance's are deduced are cross-sections, resolved and unresolved resonance parameters, the first order Legendre-polynomial coefficient for the angular distribution of elastically scattered neutrons, and fission neutron spectra. Covariances were estimated on the basis of the same methods that were adopted in the JENDL-3.3 evaluation.
- 2) JEFF-3.1.1 (2009). The number of nuclides in this NDL is 381. The covariances are provided for 46 nuclides, and only for cross-sections. JEFF-3.1.1is the current European NDL (JEFF Report No.22) and was released in 2009.
- 3) ENDF/B-VI.8 (2001) and ENDF/B-VII.0 (2006). The latest version of this NDL has 393 nuclides. The official version of ENDF/B-VII.0 was released at the end of 2006. The ENDF.B-VII library contains 14 sub-libraries (2 - new, 7 - many improvements and updates, 5 - unchanged). It is the largest library - it contains data for 393 materials (390 isotopes plus 3 elements). There are major improvements in the ENDF.B-VII library as compared to the previous versions/releases:
	- significant advances are made in evaluation of actinide cross-sections;
	- fission products are completely updated;
	- Includes resonances (resolved and unresolved) in modern representation.

With regard to neutron cross-section covariances (uncertainties plus correlation matrix) in the ENDF/B-VII library there is a drastic reduction compared to ENDF-B-VI.8 since the later covariances were from the 1970s and mostly produced for ENDF/B-V. It was decided to keep only quality covariances and 90% of covariances were removed. Only partial covariances for 13 materials were migrated to ENDF/B-VII from ENDF/B-VI, and new covariances for 9 materials were added. The new covariances are for Gd isotopes, and this new evaluation includes unresolved resonances.

The covariance vision for the ENDF/B-VII as reported by BNL is as follows:

- produce crude but reasonable covariances for all materials in ENDF/B-VII.0;
- improve all covariances and release VII.1 version;
- Quality results release VII.2.

When the first step is completed and made available it can be used for the purpose of the OECD LWR UAM benchmark. This will be low-fidelity covariances and covariance matrices available for all materials in ENDF/B-VII.0 at any temperature, in a tabulated form or MF33 format. The delay in preparing the complete covariance data for the ENDF/B-VII is caused by the following reasons:

large multi-dimensional data representations are needed;

- methods to evaluate the covariances are not well established;
- covariance data for resonance parameters need special care.

The same applies to the new versions of JEFF, JENDL and TENDL – JEFF-4.0, JENDL-4.0 and TENDL-2009. When these NDLs supplemented by complete covariance data are made available they can be used for the purpose of the OECD LWR UAM benchmark.

The new BNL-LANL approach is utilised with covariances for the entire energy range: the fast neutron region is based on EMPIRE (BNL) [23] [24] and KALMAN (LANL) using both theoretical and experimental uncertainties, while the thermal and resonance regions are based on ATLAS (BNL) [25] and KALMAN. The SAMMY code (ORNL) [26] [27] is being used to generate resonance-parameter covariance matrices in the resolved and unresolved resonance regions for selected materials, while a simple "integral approximation" will be used to generate low-fidelity uncertainties in the thermal and resonance ranges for most of the other materials. The "integral approximation" uses uncertainties in integral measurements of thermal cross-sections and resonance integrals to approximate differential data uncertainties [28].

Table 1 shows the total number of materials and cross-section reactions with neutron cross-section covariance data in the recent versions of the major evaluated nuclear data files.

Data files	Number of materials	Number of cross-sections
ENDF/B-VI.8		400
JEFF-3.1	34	350
$JENDL-3.3$		160
TENDL-2008	From $F-19$ to $Po-209$	all

Table 1: Number of materials and cross-sections with covariances of neutron cross-sections

The covariance data in the major data files are scarce in terms of materials (including actinides) and types of covariance matrices available. They contain uncertainty information only for few isotopes and reactions and usually for different number and different isotopes in different files. For isotopes not included, usually their covariances are assumed to be zero, which will result in the underestimation of core parameters uncertainties. For example the list of nuclides and materials present in LWR reactor cores of interest to this benchmark are given in [Table 2](#page-21-1) through [Table 4.](#page-22-1) These nuclides can be ranked according to their importance regarding the multiplication factor predictions. An example of such priority list of the most important nuclides for LWR calculations is given in [Table 5.](#page-22-2) (Please note that the nuclides are not listed in the order of their importance.)

In conclusion, the status of available covariance data in the major NDLs is such that it cannot support the objectives of the OECD LWR UAM benchmark. Once the more complete covariance data containing low-fidelity covariances that supplement available NDL evaluations available for all required materials are ready, they can be used for the purposes of this benchmark within the framework of Exercise I-1.

$H-1$	$B-10$	$B-11$	$C-0$	$O-16$	$Al-27$	$Si-0$	$Cr-0$
$Mn-0$	Fe-0	$Ni-0$	$Cu-63$	$Kr-83$	$Rh-103$	$Rh-105$	Ag- 107
$Ag-109$	$Cd-113$	$In-115$	$I-135$	$Xe-131$	$Cs-133$	$Cs-134$	$Cs-135$
Nd-143	Nd-145	$Pm-147$	$Pm-148$	$Pm-148(m)$	$Pm-149$	$Sm-147$	$Sm-149$
$Sm-150$	$Sm-151$	$Sm-152$	$Eu-153$	Eu-154	Eu-155	$Gd-153$	$Gd-155$
$U-234$	$U-235$	$U-236$	$U-238$	$U-239$	$Np-237$	$Np-239$	Pu-238
$Pu-239$	$Pu-240$	$Pu-241$	$Pu-242$	Am-241	Am-242 (m)	Am-243	$Cm-242$
LFP1 ²	LFP 2^3	$Zr-2^4$	$Zr-4^5$	SS^6			

Table 2: Nuclides and materials present in TMI-1 PWR core calculations

 \overline{a}

 2 Lumped fission products group 1.

$H-1$	$B-10$	$B-11$	$C-0$	$O-16$	$Kr-83$	$Rh-103$	$Rh-105$
$Ag-109$	$I-135$	Xe-131	$Xe-135$	$Cs-133$	$Cs-134$	$Cs-135$	Nd-143
Nd-145	Nd-147	Pm-147	$Pm-148$	$Pm-148(m)$	$Pm-149$	$Sm-147$	$Sm-148$
$Sm-149$	$Sm-150$	$Sm-151$	$Sm-152$	$Eu-153$	$Eu-154$	$Eu-155$	Gd-154
Gd-155	Gd-156	Gd-157	$Gd-158$	$Gd-160$	$U-234$	$U - 235$	$U-236$
$U-238$	$U-239$	$Np-237$	$Np-239$	$Pu-238$	$Pu-239$	$Pu-240$	$Pu-241$
$Pu-242$	Am-241	Am- $242(m)$	Am- 243	$Cm-242$	$Cm-244$	$Cm-245$	$Cm-246$
$Cm-247$	LFP1	LFP ₂	$Inc-718'$	$Zr-4$	SS		

Table 3: Nuclides and materials present in PB-2 BWR core calculations

Table 4: Nuclides and materials present in Kozloduy-6 VVER-1 000 core calculations

Table 5: Priority list of important nuclides

 3 Lumped fission products group 2.

⁴ Zircaloy-2.

1

⁵ Zircaloy-4.

⁶ Stainless Steel.

 7 Inconel-718.

2.2 Multi-group processing of nuclear and covariance data

Prior to using the covariance information in applications, a processing method/code must be used to convert the energy-dependent covariance information to a multi-group format. Within the framework of Exercise I-1 the participants can use/develop their own processing methods or utilise available tools/codes at NEA/OECD and RSICC/ORNL to process the cross-section data and associated covariance data (groupwise covariance matrices) for the multi-group libraries utilised as input in their lattice physics codes.

The data from the evaluated nuclear data files usually are processed with codes such as NJOY. The multi-group structures should correspond to the structure of input libraries in the utilised lattice physics codes. For example some of the input multi-group structures utilised for LWR analysis in lattice-physics codes are:

- CASMO-4 [29] input libraries 40 and 70 groups;
- HELIOS [30] input libraries 47 and 190 groups;
- APOLLO-2 [31] input libraries 172 and 281 groups;
- TRITON $[32] 44$ and 238 groups.

Uncertainties are inevitably introduced into the broad-group cross-sections due to approximations in the grouping procedure. The dominant uncertainty is generally with regard to the energy weighting function used to average the pointwise or fine-group data within a single broad group. Intelligent selection of the weighting functions can reduce such uncertainties. Sensitivity studies using different group structures can help to identify uncertainties introduced with the choice of given multi-group structures. Detailed knowledge of the specific application is needed to prepare adequate group constants. One rigorous way to determine the optimum energy mesh for LWR applications is described in [31]. Continuous energy Monte Carlo solutions can be used to guide and inform multi-group library generation and use.

The SCALE system provides a rigorous mechanism for multi-group cross-section processing using the continuous energy solver CENTRM [33] for self-shielding in the resolved resonance and thermal regions for appropriately weighting multi-group cross-sections using a continuous energy spectrum. The CENTRM module used for cross-section processing within SCALE performs an ultra-fine energy grid (typically 30 000-70 000 energy points) transport calculation using ENDF-based point data to generate effectively continuous energy neutron flux solutions in the resonance and thermal ranges. This is used to weight the multi-group cross-sections to be used in subsequent transport calculations. There is also a special sensitivity version of CENTRM that computes pointwise flux derivatives for evaluating implicit sensitivities associated with perturbations in resonance self-shielding.

The judicious selection of the energy group structure can also help to reduce the sensitivity of the computed responses to the weighting function, at least for a selected set of problems.

The covariance data can be processed in multi-group form. For example MF33 data are structured to be processed to yield the multi-group covariance matrices. There are several procedures to process covariances:

- ERRORR/COVR modules of NJOY [34] can process ENDF Files 31, 32, 33 (not capable of processing Reich-Moore covariance data). Processed data are in COVFILS format.
- ERRORJ [35, 36] can process the covariance for cross-sections including resonance parameters (Files 31, 32, 33, 34 and 35). It was recently integrated into the NJOY processing system. Processed data are generated in COVFILS format.
- PUFF-IV [37] a multi-group covariance processing code. The PUFF-IV has the capability to process the uncertainty information in ENDF (Files 31, 32, 33) and generate the desired multigroup correlation matrix. Processed data are in COVERX format.

• SAMMY [38] - an R-matrix tool for analysis of cross-section data in the resolved and unresolved resonance regions. SAMMY code can be used to generate a resonance-parameter covariance matrix in the resolved and unresolved resonance regions. The evaluated data files with covariance data are then processed with ERRORJ to generate multi-group covariance data for reactor applications. REFIT [39] is an alternative code to SAMMY with equivalent features.

In principle the covariance matrices can be now self-shielded in the same way as the cross-sections, although in practice this is rarely done. The impact of this treatment on the obtained covariance matrices and their dependence on energy group structure needs to be studied. The uncertainty can be self-shielded using Bondarenko factors, in the same way that the cross-sections are processed in the NJOY module GROUPR.

The ORNL's TSUNAMI system uses a completely different approach to address the impact of selfshielding in sensitivity analysis. Rather than modifying the covariance data, the sensitivity coefficients are modified to include the "implicit effects" of perturbations in the group cross-sections caused by perturbations in self-shielding. Implicit effects account for the impact of resonance self-shielding on sensitivity coefficients and uncertainty evaluations [40]. This allows the use of unshielded covariance data. Treatment of implicit effects is a standard part of the TSUNAMI analysis, and has been shown to be a significant sensitivity component in some cases [41].

Reducing the number of energy groups leads to a reduction in the amount of information contained in the covariance matrices. The pointwise cross-sections and associated Covariance Matrix (CM) are averaged using an energy dependent flux to give multi-group cross-sections and associated CMs. The integrations used in the averaging process are usually evaluated using numerical integration schemes – any such schemes have an uncertainty associated with them.

Several multi-group covariance matrices have been developed and used for different applications. There are multi-group covariance matrices for major isotopes of interest in reactor core calculations based on a compilation of the available uncertainty data as shown in [Table 6:](#page-24-0)

Name	Number of nuclides	Number of energy groups
ANL		
NEA/OECD		
SCALE5.1/ORNL	290	
SCALE6.0/ORNL		

Table 6: Number of nuclides and energy groups in the available multi-group covariance matrices

- The multi-group ANL cross-section covariance matrix [42] was developed based on a simple "educated guess" for uncertainties and the simplest estimate for the correlation matrix. They found that the nuclear data uncertainties are significant for only a few parameters – k_{eff} for thermal systems at EOC due to high burn-up; burn-up reactivity swing and related isotopic density variations during core depletion. In the ANL covariance matrix the uncertainty values are given in an "energy band" consistent with multi-group structures used for deterministic calculations of both thermal and fast reactors. The ANL covariance matrix has been used with the ERANOS software based on generalised perturbation method for core calculations of GEN-IV design core models and very high burn-up PWR core model.
- The NEA covariance matrix is extracting relevant covariance data from current evaluations in major data files and processing them in a multi-group structure. The name is NEA-1 730: ZZ-COV-15GROUP. ZZ-COV-15GROUP is a 15-group (which can be expanded to a desired multi-group structure) cross-section covariance matrix library presenting a general overview of the available data. The origin of this covariance matrix is from ENDF/B-V, /B-VI.8, JENDL-3.3, JEFF-3.0, IRDF-2002 and IAEA. It has been used with the cross-section sensitivity/uncertainty

(S/U) SUSD3D software available from OECD/NEA for propagation in core/experiment calculations.

• There are several evaluations of multi-group uncertainty libraries (44 groups) provided in the ORNL SCALE code package. These evaluations were generated by the multi-group preparation code PUFF-4, which processes the ENDF/B covariance data. These have been used by both TSUNAMI and TRITION. There are several different evaluations of multi-group uncertainty libraries (44 groups) provided in the ORNL SCALE code package. As can be seen in Tables 7 and 8**,** the nuclide covariance data in SCALE 5.1 and SCALE-6.0 are the most complete – it is a collection of all covariance data produced over the last few decades and critically reviewed for the most important nuclides. It is in 44 groups and can be expanded or reduced to the participants' multi-group structures. For these reasons it was decided initially at the OECD UAM-1 workshop to utilise the nuclide multi-group covariance data in SCALE 5.1 for the purposes of Exercise I-1. Since in 2009, the improved/extended SCALE-6.0 covariance library became available it was decided at the OECD UAM-3 workshop that this library would be used for Exercise I-1. The details of such utilisation are given in Section 2.3.

History and description of SCALE covariance libraries are given below:

- The first covariance libraries were released in SCALE-5.0. These were the ones entitled, 44GROUPV5COV and 44GROUPANLCOV. They are now obsolete.
- In SCALE-5.1 the above covariance libraries were replaced by 4 COV libraries:
	- 44GROUPV5COV contains only covariances contained in ENDF/B-V;
	- 44GROUPV5REC contains ENDF/B-V covariances supplemented by other data sources (as described for 44GROUPV6REC below);
	- 44GROUPV6COV contains only covariances contained in ENDF/B-VI;
	- 44GROUPV6REC was the initially recommended covariance library based on several sources, including evaluated data files END/B-VI, ENDF/B-V, JENDL, and JEF. Data missing from all evaluated data files were represented by the "integral approximation" described above, for the resonance and thermal energy ranges only. This approximation was used for 299 materials – see Table 7: The nuclides or materials (in ZA order) for which covariance data are provided in ZZ-SCALE5.1/COVA-44G.
- The SCALE-5.1 recommended covariance library was updated and transformed into the SCALE-6.0 library. The data in the SCALE-6 library have been assembled from a variety of sources, including high-fidelity covariance evaluations from ENDF/B-VII, ENDF/-VI, and JENDL-3.3, as well approximate uncertainties obtained from a collaborative project performed by Brookhaven National Laboratory, Los Alamos National Laboratory, and Oak Ridge National Laboratory. It includes recent high-fidelity ENDF/B-VII uncertainty evaluations for the nuclides ²³⁵U, ²³⁹Pu, 232 Th, and Gd isotopes. Approximate uncertainties span the full energy range of 0-20 MeV. Approximate uncertainties included for inelastic scattering and (n, 2n), as well as capture, fission, and elastic scattering reaction cross-section and number of neutrons per fission. Table 8 shows the nuclides with covariance data in ZZ-SCALE6/COVA-44G.

2.3 Covariance data and tools distributed for the UAM Phase I project

The package distributed to UAM Phase I participants consists of the following items distributed in 2 parts:

1) Cross-section relative covariance libraries:

- A set of four cross-section covariance libraries [43] [44] from the SCALE-5.1 and SCALE-6.0 [45] package and their documentation^{[8](#page-26-0)}. These libraries are in 44 energy groups in the original and the processed forms for user convenience.
- 2) Tools for handling and transforming the cross-section covariance data:
	- ANGELO- a code to transform these covariance data libraries into a user specified energy group-structure for the BOXR, COVFIL and COVERX formats [46] [47];
	- LAMBDA a programme for verifying the mathematical properties of the covariance data [46] [47].
- 3) In addition, it is recommended to use modules of the NJOY system (COVR) [34], [35] for plotting of the matrices and transformation to BOXR format. Utility routines of the ERRORJ [36] code package can be useful for COVERX files handling and conversion to COVFILS format (NJOY and ERRORJ can be obtained separately).

2.3.1 The cross-section relative covariance data sets

According to the SCALE 5.1 manual [44], a total of four cross-section relative covariance libraries have been released:

- 44GROUPV5COV, Basic ENDF/B-V Covariance Library
- 44GROUPV5REC, Recommended ENDF/B-V Covariance Library
- 44GROUPV6COV, Basic ENDF/B-VI Covariance Library
- 44GROUPV6REC. Recommended ENDF/B-V Covariance Library

These libraries correspond to the basic ENDF/B-V cross-section set, basic ENDF/B-VI set of crosssections, and two recommended sets that include the version V and VI sets plus a large number of nuclides for which covariance information is included, based on integral uncertainty data. Each of these sets contains cross-section covariance information in the SCALE 44 neutron energy group structure. It is envisaged to apply the covariance libraries to their respective ENDF/B-based cross-section libraries, (i.e. the V5 libraries to the version V cross-section libraries and the V6 libraries to the version VI cross-section libraries). In addition, there are two covariance sets for each ENDF/B version, corresponding to a *basic* set with only the materials included in the ENDF/B formal release and a *recommended* set with covariance information for most cross-section materials on the cross-section library. The *basic* sets do not include substitutions and additions for nuclides with bad or missing covariance data such that they are useable in their present form. The *recommended* sets, while efforts were made to include covariance for every material, include most but not all of the materials present on the cross section library.

In the report [43], tables are given with the missing data clearly identified. The files contain the covariance data for the following reactions or parameters: total, elastic, inelastic, (n, 2n), fission, $χ$, (n,γ), (n,p) , (n,d) , (n,t) , $(n,³He)$, (n,α) , and v-bar.

In parentheses is the total number of the different relative covariance matrices in the four libraries for each nuclide. In Appendices I-IV the list of nuclides and the origin of the covariance data are provided for each library. The 44-energy-groups structure is described in Appendix V.

⁸ These libraries have been released through the SCALE-6.0 package and are thus subject to US Export control. In order to obtain a copy, users must fill in the corresponding forms that can be obtained from the OECD/NEA Data Bank programs@oecd-nea.org or directly from RSIC[C pdc@ornl.gov.](mailto:pdc@ornl.gov) Those who have a SCALE-6.0 license do not need a new license for these data.

Some of the covariance data cover only the thermal and resonance region (based on Mughabghab (BNL) thermal and resonance integral uncertainty evaluation – this represents a large fraction of the covariance data). It is a collection of all covariance data produced over the last decades in which the most important nuclides have been critically reviewed.

The SCALE-6.0 covariance library data corresponds also to 44-group relative uncertainties assembled from a variety of sources, including evaluations from ENDF/B-VII, ENDF/B-VI, JENDL-3.3, and more than 300 approximated uncertainties from a collaborative project performed by Brookhaven National Laboratory (BNL), Los Alamos National Laboratory (LANL), and Oak Ridge National Laboratory (ORNL). The current SCALE covariance library spans the full energy range of the multi-group crosssection libraries, while the approximate uncertainty data in SCALE 5.1 did not extend above 5 keV. More than 100 new materials have also been added to the covariance library.

It is assumed that the same relative (rather than absolute) uncertainties can be applied to all crosssection libraries, even if these are not strictly consistent with the nuclear data evaluations. Only energy is fully correlated in the SCALE 6.0 44-group covariance library. There are no real cross-reaction and crossnuclide correlations. Participants are expected to address problem-specific resonance self-shielding effects when performing sensitivity and uncertainty analysis.

- The library includes evaluated covariances obtained from ENDF/B-VII, ENDF/B-VI, and JENDL-3.3 for more than 50 materials.
- Among the materials in the SCALE library with covariances taken from high-fidelity nuclear data evaluations are the following:
	- ENDF/B-VII evaluations *(includes both VII.0 and pre-release covariances proposed for VII.1):* Au, ²⁰⁹Bi, ⁵⁹Co, ^{152,154,155,156}Gd, ^{191,193}I, ⁷Li, ²³Na, ⁹³Nb, ⁵⁸Ni, ⁹⁹Tc, ²³²Th, ⁴⁸Ti, ²³⁹Pu, ^{233,235,238}U, V
	- ENDF/B-VI evaluations: Al, ²⁴¹Am, ¹⁰B, ¹²C, ^{50,52,53,54}Cr, ^{63,65}Cu, ^{54,56,57}Fe, In, ⁵⁵Mn, ^{60,61,62,64}Ni, 206,207,208 Pb, 242 Pu, 28,29 Si
	- JENDL-3.3 evaluations: ${}^{11}B, {}^{1}H, {}^{16}O, {}^{240,241}Pu$
- At the other end of the spectrum from high fidelity data, "low-fidelity" (lo-fi) covariances (BLO data) are defined to be those that are estimated independently of a specific data evaluation. The approximate covariance data in SCALE are based on results from a collaborative project funded by the Department of Energy Nuclear Criticality Safety Programme to generate low-fidelity covariances over the energy range from 10^{-5} eV to 20 MeV for materials without covariances in ENDF/B-VII.0. Nuclear data experts at BNL, LANL, and ORNL devised simple procedures to estimate data uncertainties in the absence of high-fidelity covariance evaluations. The result of this project is a set of covariance data in the ENDF/B file 33 formats that can be processed into multigroup covariances.

Table 7: The nuclides or materials (in ZA order) for which covariance data are provided in ZZ-SCALE5.1/COVA-44G

Table 8: The nuclides or materials (in ZA order) for which covariance data are provided in ZZ-SCALE6/COVA-44G

Fm-255

In bold: added nuclides / materials

These libraries will be delivered in the original SCALE COVERX format. A slightly modified routine EDITCVX from the code ERRORJ [36] was used to subdivide the original libraries into separate COVERX format files for each nuclide or material. This allows for "cleaning-up" the files removing zero covariances, and in particular easier handling and faster ANGELO runs. Although it is in principle possible to process both the original and the separated COVERX files with the ANGELO and LAMBDA codes, the use of separate files is recommended.

Following a request from the UAM (Uncertainty Analysis in Modelling) Expert Group, the authorisation was granted by the SCALE management and DOE to use the group cross-section covariance data now distributed with SCALE-5.1 and SCALE-6.0 for the purpose of the Phase I (Neutronics Phase) benchmark study and in connection with other codes.

2.3.2 Tools for handling and transforming the cross-section relative covariance data

The code ANGELO [45] [46] is designed for the interpolation of the multi-group covariance matrices from the original to a user defined energy structure which is also distributed for the convenience of the users. The algorithm used in the ANGELO code is relatively simple; therefore the interpolations involving the energy group structures which are very different from the original one (such as large difference in the number of energy groups) are to be avoided as they may not be accurate. ANGELO does not carry out cross-section and flux weighting; therefore the interpolations to group structures which differ significantly from the original should be avoided (especially if the number of groups is reduced considerably). Still, the procedure tends to be conservative. The interpolation procedure was found to give reliable results if the number of groups changed by up to a factor of 4. In this range the procedure can therefore be considered as an adequate and easy-to-use alternative to more rigorous methods, like the ERRORR module of NJOY. Several input formats are available for the covariance files: BOXR, COVERX and several flexible text file formats. The output format is COVFILS (recommended) and different binary formats. The COVFILS format is native of NJOY [34] [35], which allows using the NJOY module COVR to perform covariance plotting and output formatting operations, such as transformation to the compact BOXR format. The utility programmes of ERRORJ can be also used to convert COVFILS format to COVERX. The input description is provided in Appendix VI.

LAMBDA [46], [47] is a programme used to verify some mathematical properties and the physical consistency of the data and the interpolation procedure, in particular the positive definiteness of the multigroup covariance matrices. The trace and the number of positive, negative, and zero eigenvalues are calculated and the matrix is classified on this basis. The correlation matrix is tested to determine if any element exceeds unity. This quality verification is highly recommended before using the covariance information to data consistency analyses with integral experiments and to data adjustment. Accepted input formats are BOXR and COVERX. The input description is provided in Appendix VI.

Initially ANGELO-LAMBDA with the corresponding library ZZ-SCALE5.1/COVA-44G was distributed to the participants of the OECD UAM benchmark. These utility programmes for interpolation and mathematical verification of the matrices were extended to handle the 44-group covariances available in SCALE-5.1 for the work carried out within the Expert Group on UAM. Next, the updated ANGELO-LAMBDA with the corresponding library ZZ-SCALE6/COVA-44G was distributed among the OECD UAM benchmark participants. These utility programmes for interpolation and mathematical verification of the matrices were extended to handle the 44-group covariances available in SCALE-6.0 again for the work carried out within the expert group on UAM.

The SCALE library is based on a 44-group structure. For other group structures, NEA/OECD has provided the tools for handling and transforming the cross-section covariance in a consistent way (ANGELO and LAMBDA – last update: 5 February 2010). ANGELO2.3 code is used for interpolation of covariance matrices to user defined energy group structure; only file-33 covariance matrices can be treated. (Cross-correlations between reactions are treated correctly but not cross-correlations between nuclides). The advantages are easy to use and are a fast alternative to NJOY processing while the disadvantages are

that no flux or cross-section weighting is involved. Please note that the processing of fission spectra covariances by ANGELO code may not preserve the normalisation of the matrix (zero-sum rule), therefore the use of constrained sensitivity method as coded in SAGEP and SUSD3D is mandatory to determine the corresponding uncertainties. LAMBDA2.3 code is used for verification of mathematical properties of covariance matrices.

2.4 Test problems

Nuclear data uncertainties in the evaluated NDLs obtained from analysis of experimental differential data and nuclear models are stored as variance and covariance data. Within the framework of Exercise I-1, the covariance data library is processed in a multi-group format. To propagate the uncertainty, the final multi-group cross-section libraries and associated uncertainties should be consistent with the requirements of the intended lattice physics codes.

Evaluation of covariance matrices to be used in the analysis

The variance and covariance data used should be evaluated prior to the propagation of uncertainty. In the analysis of uncertainty, covariance matrices provide the framework on which the evaluation of uncertainty is based. The outcome of the uncertainty calculations is directly affected by the quality of the covariance matrices.

Equation 3, below, provides a technique with which participants can evaluate the covariance matrices used in their analyses. The effective uncertainty will provide an overview of a "size of the covariance matrix" and will enable participants to evaluate different covariance matrices.

• Participants are required to identify five nuclide reactions that contribute the most to the uncertainty in k_{inf} . For comparison purposes a simple measure of propagation of uncertainties, a one-group effective uncertainty for each nuclide reaction, is requested. These one-group effective uncertainties can be calculated using the following Equation 3 or Equation 4:

One-group effective cross-section uncertainty (
$$
\Delta^2
$$
) = $\sum \Delta^2_g$ (3)
= $\sum \alpha_{x,g} \cdot \text{cov}(x, y)_{g} \cdot \alpha_{y,g}$

where

Suggestions were made regarding the weighting factor, the calculation of α based on light water reactor spectrum should be used in lieu of the above calculation which is based on group flux ratio. The suggested spectra are given below. These spectra are based on energy of the neutrons rather than group fluxes. Thus, in the calculation of α , the group flux $[\varphi(E)]$ is replaced with ω (E).

For 0.125 eV \leq \leq 820.8 keV, use ω (E) = C₂/E (Slowing-Down Spectrum) For 820.8 keV < E< 20 MeV, use ω (E) = C₃ \sqrt{E} e^{-E/1.273}

(Fission Spectrum)

Where $C_1 = 9498.4 [ev^2]$ $C_2 = 1$ $C_2 = 2.5625$ [Mev^{-1.5}]

Figures 1 and 2 illustrate the one-group effective cross-section uncertainty calculation procedure using as example a 44-group covariance matrix weighted by reaction x and reaction y.

 Δ^2_1 = $[\alpha_{x,1} \bullet \text{cov}(x_1,y_1) \bullet \alpha_{y,1}] + [\alpha_{x,2} \bullet \text{cov}(x_2,y_1) \bullet \alpha_{y,1}] + \dots + [\alpha_{x,44} \bullet \text{cov}(x_{44},y_1) \bullet \alpha_{y,1}]$ $\Delta^2_2~~=\ [\alpha_{x,1}\bullet \text{ cov }(x_1,y_2)\bullet \alpha_{y,2}]+[\alpha_{x,2}\bullet \text{ cov }(x_2,y_2)\bullet \alpha_{y,2}]+\dots(\alpha_{x,44}\bullet \text{ cov }(x_{44},y_2)\bullet \alpha_{y,2}]$ $\ddot{}$ \bullet $\Delta^2_{44} = [\alpha_{x,1} \bullet \text{cov}(x_1, y_{44}) \bullet \alpha_{y,44}] + [\alpha_{x,2} \bullet \text{cov}(x_2, y_{44}) \bullet \alpha_{y,44}] + \dots \dots [\alpha_{x,44} \bullet \text{cov}(x_{44}, y_{44}) \bullet \alpha_{y,44}]$

In cases, where reaction x and reaction y are the same, i.e. 235 U (n, fission) and 235 U (n, fission), then the weighting factor matrix of one reaction is a transpose of the same weighting factor matrix. One-group effective cross-section uncertainty (Δ^2) $= \sum \Delta^2_{\rm g}$

$$
= \sum \alpha_{x,g}^{T} \cdot \text{cov}(x, x)_{g} \cdot \alpha_{x,g}
$$

=
$$
\sum [\alpha_{g}]^{T} \cdot [\text{cov}(x, x)_{g}] \cdot [\alpha_{g}]
$$
 (4)

Figure 2: Depiction of 44-group covariance matrix, weighting factor matrices and, uncertainty matrix

In each matrix, the variances are identified by the diagonal values inside the matrix. If the weighting factor α) is set to 1 the calculation will yield a flat-weighted one-group effective uncertainty that will be used for future comparisons.

Analysis of LWR pin-cell models

In order to perform a comparative analysis of the multi-group cross-section, uncertainty data obtained after processing test problems are devised or utilised from the previously defined benchmarks (participants can select which test problem to analyse):

- Two-dimensional fuel pin-cell test problems representative of BWR PB-2 [6], PWR TMI-1 [7], and Kozloduy-6 VVER-1000 [8]. The specifications of these three test problems are given subsequently in Figure 1 through Figure 3. The figures include geometry and material specifications. Concerning boundary conditions, participants should apply the following type of boundary conditions in this case:
	- For a "*cylindrical pin-cell*" model, reflective boundary conditions are utilised at the center-line boundary while white boundary conditions are applicable at the peripheries of the cell-model;
	- For a "*square pin-cell*" model, reflective boundary conditions on all surfaces are applied.

Each pin-cell model should be analysed at Hot Full Power conditions (HFP) as well as Hot Zero Power (HZP) conditions.

Figures 3, 4 and 5 provide the configuration and operating conditions of the test problems:

Figure 3: Configuration of PB-2 BWR unit cell

Figure 4: Configuration of TMI-1PWR unit cell

Figure 5: Configuration of Kozloduy-6 VVER-1 000 unit cell

Please note that the ²³⁴ U atom density is equal to 0.0054 wt% for the three unit cells and for the Kozloduy-6 VVER-1 000 unit cell the air composition of central void is 79% nitrogen and 21% oxygen. Additional information for zircaloy, inconel, and stainless-steel is provided in [Table 9:](#page-35-0)

Nuclide	ID	$Zirc-2$	Zirc-4	Zirlo
0	8 0 0 0	0.125	0.125	0.125
Cr	24 000	0.100	0.100	0.100
Fe	26 000	0.135	0.210	0.210
Ni	28 000	0.055	0.000	0.000
Zr	40 000	98.135	98.115	97.115
Nb	41 000	0.000	0.000	1.000
Sn	50 000	1.450	1.450	1.450
Nuclide	\mathbf{D}	SS-347	Inconel-718	Inconel-750
Al	13 000	0.000	0.000	0.980
Si	14 000	0.510	0.350	0.000
Cr	24 000	17.400	18.960	20.140
Mn	25 000	1.990	0.870	0.990
Fe	26 000	68.350	27.930	8.880
Ni	28 000	11.700	51.190	69.020

Table 9: Weight percent information from natural concentrations

To enhance the differences between the three cell cases (PWR, BWR and VVER) for the Exercise I-1, the HFP case of the BWR is defined to be analysed at 40% void fraction (with a corresponding moderator density (ρ) of 460.72 kg/m³) instead of 0%. Hence the PWR and BWR cases are for square pitch but with different spectra, while the VVER case is for triangular pitch. The PWR MOX pin cell case at HFP conditions is also added to Exercise I-1. It is based on the information and conditions provided in Figures 11 and 12 of Chapter 3. The MOX 9.8% Pu composition is selected as a test case to be analysed in Exercise I-1.

For each test problem, k_{eff} (k_{∞}), and its associated uncertainties based on the multi-group covariance matrices available are requested. The uncertainty in k_{eff} should be presented in terms of [%k] and not [%Δk/k]. In addition, the top five contributors of the uncertainty in k_{eff} are also requested in order to identify neutron-nuclide reactions that contribute to most of the uncertainties. Further, one-group effective uncertainties are also requested for comparison purposes as some manipulations of the covariance matrices are required prior to criticality analysis. Participants are encouraged to submit the one-group effective uncertainties, one-group effective variances, and unweighted one-group effective uncertainties of the top five nuclide contributors identified in the previous section of this exercise.

In addition, the microscopic absorption cross-section and its uncertainties for both 235 U and 238 U are requested as well as the microscopic fission cross-section and its uncertainties for both ²³⁵U and 238 U.

Validation of the calculated uncertainties against the experimental values

• Fuel pin-cell test problems from the KRITZ-2 LEU critical experiments [48] [49], see Table 10: the KRITZ-2:1 and KRITZ-2:13 experiments at two different temperatures and two boron concentrations are selected for the purposes of the OECD LWR UAM benchmark since their rod pitch sizes are similar to those of lattices present in the PB-2 and TMI-1 cores. For this exercise, the pin-cell should be modelled with no boron present. Tables 11 and 12 provide the information needed to perform the KRITZ-2:1 and KRITZ-2.13 pin-cell analysis. An additional test problem
from the KRITZ-2:19 with MOX fuel is also included as a test case and its specification is shown in Table 13. Further information on specifications and results are available in [49].

Experiment	KRITZ-2:1			KRITZ-2:13	KRITZ-2:19	
Fuel	UO_2 , 1.86 wt.% ²³⁵ U		UO_2 , 1.86 wt.% ²³⁵ U		MOx, 1.5 wt.% $PuO2$ 91.41 at.% ²³⁹ Pu	
Fuel pellet diameter (mm)	10.58		10.58		9.45	
Clad outer diameter (mm)	12.25		12.25		10.79	
Rod pitch (mm)	14.85		16.35		18.00	
Number of fuel rods	44 x 44			40×40		25 x 24
Temperature $(^{\circ}C)$	19.7	248.5	22.1	243.0	21.1	235.9
Boron concentration (ppm)	217.9 26.2		451.9	280.1	4.8	5.2
Water height (mm)	652.8	1055.2	961.7	1109.6	665.6	1000.1

Table 10: Summary of critical configuration specifications

Table 11: Specifications for KRITZ-2:1 LEU critical experiment

Table 12: Specifications for KRITZ-2:13 LEU critical experiment

KRITZ-2:19 Experiments	Cold	Hot
Temperature $(^{\circ}C)$	21.1	235.9
Fuel material	MOX	MOX
Fuel enrichment	1.5 w/o $PuO2$	1.5 w/o $PuO2$
Fuel pellet density (g/cm^3)	9.58	9.55126
Fuel pellet diameter (mm)	9.45	9.4642
Cladding material	Zircaloy-2	Zircaloy-2
Cladding density $(g/cm3)$	6.506	6.47674
Cladding outer diameter (mm)	10.79	10.8062
Cladding thickness (mm)	0.67	0.671
Moderator material	water	water
Fuel pitch (mm)	18.0000	18.0696
Fuel pitch type	Square	Square

Table 13: Specification for KRITZ-2.19 LEU critical experiment

VVER physics experiments $[49^9]$ $[49^9]$ $[49^9]$ $[59^{10}]$ $[59^{10}]$ $[59^{10}]$ performed at the critical facility of the Russian Research Center "Kurchatov Institute" (RRC KI) are selected for the purposes of the OECD LWR UAM benchmark since their rod pitch sizes are similar to those of lattices present in the Kozloduy-6 and Kalinin-3 cores. Their information can be found in the above-mentioned references.

For each test problem, k_{eff} (k_{∞}), and its associated uncertainties based on the multi-group covariance matrices available are requested. The uncertainty in k_{eff} should be presented in terms of [%k] and not [%∆k/k]. In addition, the top five neutron-nuclide contributors of the uncertainty in k_{eff} are also requested in order to identify neutron-nuclide reactions that contribute to most of the uncertainties. Further, onegroup effective uncertainties are also requested for comparison purposes as some manipulations of the covariance matrices are required prior to criticality analysis.

In addition, the microscopic absorption cross-section and its uncertainties for both 235 U and 238 U are requested as well as the microscopic fission cross-section and its uncertainties for both 235U and 238U.

One of the objectives of the benchmark is to provide recommendations for physical and numerical models suitable for reactor physics and uncertainty analysis. For that purpose, participants will be requested to provide information about the models they use. If necessary, clusters of models/approaches can be defined for a more consistent comparison of the results. Continuous energy Monte Carlo (MCNP5) solutions with converged eigenvalue and fission source distributions will be provided for each test problem. The statistical uncertainties in the reference Monte Carlo calculations will be evaluated by the benchmark team. The reference solutions with Monte-Carlo simulations are very valuable and several calculations with different nuclear data libraries will be provided in order to assess the effect of the evaluated nuclear data on well-defined problems.

In the calculations of the above-described test problems the participants have to utilise their multigroup cross-section libraries (input to their lattice physics codes) and associated uncertainties. They can utilise their own Sensitivity/Uncertainty (S/U) tools to propagate cross-section uncertainties to calculate quantities of interest in nuclear analysis or the ones available at NEA/OECD – such as SUSD3D [50] – and TSUNAMI [41] at ORNL.

The objective of Exercise I-1 is to address the uncertainties due to the basic nuclear data as well as the impact of processing the nuclear and covariance data with a primary focus on the microscopic crosssections and their uncertainties. Within Exercise I-1 the uncertainties in evaluated NDLs are propagated

 \overline{a}

⁹ PFacility-VVER-EXP-001.

¹⁰ LEU-COMP-THERM-061.

into multi-group microscopic cross-sections used as an input by their lattice physics codes. The output uncertainties of Exercise I-1 are input uncertainties in Exercise I-2.

Extension to Exercise I (I-1) denoted as I-1b (PWR curnup pin-cell benchmark) was introduced in order to address the uncertainties in the *depletion calculation* due to the basic nuclear data as well as the impact of processing the nuclear and covariance data. The Exercise I-1b is defined in Appendix VIII.

Chapter 3: Definition of Exercise I-2: lattice physics

This exercise includes the propagation of input uncertainties, defined below, through lattice physics calculations to target and output uncertainties in evaluated lattice-averaged (homogenised assembly/node) parameters e.g. few-group homogenised nodal parameters such as cross-sections, assembly discontinuity factors, form functions and k_{inf} . The input uncertainties, which result in uncertainties in prediction of lattice-averaged parameters and which need to be accounted for and propagated, arise from:

- multi-group cross-section uncertainties (multi-group cross-section covariance matrix);
- uncertainties associated with methods and modelling approximations utilised in lattice physics codes;
- fuel/assembly manufacturing uncertainties.

In order to propagate the input uncertainties through lattice physics calculations to determine uncertainties in output lattice-averaged parameters within the framework of Exercise I-2 the utilisation of a lattice physics code is necessary. Participants can use/select their own lattice physics codes in conjunction with their own UA and SA tools for the purposes of this exercise.

3.1 Discussion of input, propagated, and output uncertainties

In the current established calculation scheme for LWR design and safety analysis, multi-group microscopic cross-section libraries are an input to lattice physics calculations. The multi-group crosssection uncertainties (multi-group cross-section covariance matrix) should be obtained by participants as output uncertainties within the framework of Exercise I-1. In Exercise I-2 multi-group cross-section uncertainties are input uncertainties and must be propagated through the lattice physics calculations to fewgroup cross-section uncertainties (few-group covariance matrix). All cross-section uncertainties are assumed to follow normal Gaussian distributions and only the first and second moments of the uncertainty distributions, i.e. the means and covariances, are to be propagated through the calculations [51] [52]. The propagation of the cross-section uncertainties is the most important part of Exercise I-2.

The other input uncertainties in Exercises I-2 are new uncertainties added during the cross-section generation process. Methodological uncertainties, which are associated with methods and modelling approximations utilised in lattice physics codes, should be assessed. Different transport methods have been utilised in lattice physics codes such as the Collision Probabilities Method (CPM), the Method of Characteristics (MOC), S_n , P_n , etc. Depending on the availability of different methods in the lattice code of choice, the related uncertainties can play a smaller or larger role.

Based on the discussions among the benchmark participants during the UAM-4 workshop, the following topics were discussed and agreed upon:

Diffusion coefficient

The definition of diffusion coefficient is as follows:

$$
D = \frac{1}{3\Sigma_{transport}}
$$
 (5)

Transport cross-section

The definition of transport cross-section is as follows:

$$
\sum_{\text{transport}} = (1 - \overline{\mu}) \sum_{\text{scattering}} - \sum a \tag{6}
$$

where
$$
\overline{\mu} = \frac{2}{3A}
$$
 and A = nuclear mass number

In addition, the energy cut-off point of 0.625 eV should be used for collapsing the cross-section into two energy groups.

Thermal expansion coefficient

In the unit fuel cell, thermal expansion as a result of increasing temperature causes changes in the volume density which, in turn, changes the number density. This temperature effect changes the calculation of the macroscopic cross-sections and should be addressed in the evaluation of the uncertainties. In addition, thermal expansion affects the calculation of the linear power density as the calculation reflects the temperature drop across the fuel element. [Table 14](#page-40-0) presents linear thermal expansion coefficients for selected materials:

Material	Temperature (°C)	Linear thermal expansion coefficient $[x10^{-6}]/K$
Unirradiated $UO2$ (95% theoretical density)	$540 - 2700$	175
SS-304	340	17 3
Zircalov-4	340	

Table 14: Linear thermal expansion coefficients

Kinetic parameters

The delayed neutron fraction and decay constants are to be considered in six delayed neutron families (groups). The integral uncertainties are to be evaluated from the literature. The formula for collapsing kinetics parameters and calculating keff and propagation of their uncertainties is available in Appendix VII. The uncertainties in delayed neutron yield and its distribution in delayed groups are to be evaluated.

The participants are responsible for performing spatial discretisation and angular discretisation convergence studies with their lattice physics codes in order to remove the uncertainties associated with numerical approximations (numerical method uncertainties) and reduce the uncertainties associated with neutron transport method (physics uncertainties) used in lattice physics codes. The method related contribution of uncertainty can be derived from earlier benchmarks conducted within the NEA/OECD.

In the current LWR standard calculation scheme (utilised in industry and regulation) the lattice physics calculations for generation of few-group cross-sections usually apply the following approximations (on which the participants should focus as a second important input uncertainty):

- pin cell homogenisation;
- energy group condensation;
- Assembly homogenisation in single assembly environment.

In order to assess the uncertainties in few-group assembly homogenised cross-sections and other nodal homogenised parameters (Assembly Discontinuity Factors – ADFs, Corner Discontinuity Factors – CDFs, Form Functions) due to utilisation of the above-mentioned approximations, one has to decompose and evaluate the errors of these approximations. Evaluation of the uncertainties introduced with such modelling approximations is important because in some situations these approximations work well and in others they do not. This can be accomplished by designing appropriate 2-D mini-core test problems (color-sets) [53] in addition to 2-D single assembly models with reflective boundary conditions. The latter are the base models for cross-section generation with the exception of reflector cross-sections, which are usually generated in 1-D color-sets. For this reason, 2-D color-set test problems are defined by the benchmark team for this exercise in addition to the single assembly and 1-D color-set models.

The participants should also account for fuel/assembly manufacturing uncertainties such as enrichment, pellet density, cladding dimensions, burnable poison (BP) concentration, and assembly

geometry. Assignment of uncertainty measures in the form of PDFs to all input variables should be considered. For example, the PDFs for pellet dimensions, density, Zr homogenisation for the pin cell, and initial isotopic content can be assumed as normal distributions [54]. For the 2-D TMI-1 PWR assembly model (described below), which utilises the pin cell specifications given in Figure 4, the following manufacturing uncertainties in terms of 3σ are provided in [55] assuming normal distributions for their PDFs:

- fuel density: ± 0.17 g/cm³;
- fuel pellet diameter: ± 0.013 mm;
- gap thickness: ± 0.024 mm;
- clad thickness: ± 0.025 mm;
- ²³⁵U concentration: \pm 0.00224 w/o.

For the PB-2 BWR assembly the following are manufacturing uncertainties in terms of 3σ with normal distributions for their PDFs:

- cladding ID: \pm 0.04 mm;
- cladding thickness: \pm 0.04 mm;
- clad roughness: \pm 0.3 µm;
- fuel pellet OD: \pm 0.013 mm;
- fuel density: $\pm 0.91\%$;
- pellet roughness: \pm 0.5 µm;
- rod fill pressure: ± 0.07 MPa.

For VVER-1 000 assembly cases the following manifacturing uncertainties are selected assuming uniform distributions of their PDFs:

- inner hole diameter Lower limit (014 cm) and Upper limit (0.17 cm);
- fuel density Lower limit (10.4 g/cm³) and Upper limit (10.7 g/cm³);
- fuel pellet diameter Lower limit (0.753 cm) and Upper limit (0.756 cm);
- clad inner diameter Lower limit (0.772 cm) and Upper limit (0.778 cm);
- clad outer diameter Lower limit (0.905 cm)and Upper limit (0.915 cm);
- ²³⁵U concentration (base case: 3.3%) Lower limit (3.25 %) and Upper limit (3.35 %);
- ²³⁵U concentration (base case: 3.0%) Lower limit (2.95 %) and Upper limit (3.05 %).

The benchmark team will address the propagation of manufacturing, methodological and numerical uncertainties within Exercise I-2 in the final benchmark report on comparative analysis of results for Phase I. The participants are advised to focus mostly on cross-section uncertainty propagation, and if their capabilities and resources allow, to propagate in parallel also with the manifacturing uncertainties in Exercise I-2. The problem with different input uncertainty contributors to be taken into account such as cross-section, manufacturing and modelling uncertainties along with considering inter-parameter correlations of input uncertainties is very challenging.

Within the framework of Exercise I-2 the above-described input uncertainties are propagated through lattice physics calculations to target and output uncertainties in evaluated few (usually two) group latticeaveraged (homogenised assembly/node) parameters. The propagated uncertainties are related to the final few-group lattice-averaged parameters consistent with the input requirements of core simulator codes such as few-group diffusion coefficients, cross-sections, ADFs, CDFs, and kinetics and delayed neutron parameters. In addition to evaluating the above-mentioned few-group uncertainties, the uncertainties of assembly (lattice) based kinetics parameters have to be estimated as propagated uncertainties. With regard to the uncertainty of assembly (lattice) based delayed neutron fractions it should be noted that there are no available covariance matrices for delayed neutron data in evaluated nuclear data files. Relevant publications such as [56] were reviewed in order to collect information based on experiments for the most important actinides. Based on this information the uncertainty in the calculation of effective neutron fractions for uranium-fuelled thermal spectrum systems was quantified to be \pm 3%. Requested for propagated uncertainties are the best-estimate values of the parameter with associated uncertainties where the associated uncertainties are variance-covariance matrices for the few group parameters and for the other parameters (delayed neutron fractions and decay constants) the best-estimate value of the parameter with associated uncertainties where the associated uncertainties are in terms of standard deviation.

The output uncertainties are for specified output parameters for Exercise I-2, used to test (evaluate) the utilised uncertainty method. The output uncertainties for Exercise I-2 include one group effective crosssection uncertainties, k_{inf} (k_{eff}) and associated uncertainties, reaction rates and associated uncertainties, and pin power distribution and associated uncertainties. Requested for these output uncertainties are the bestestimate value of the parameter with associated uncertainties where the associated uncertainties are in terms of standard deviation. The requested "one group effective cross-section uncertainties" are simple ways to evaluate target uncertainties such as few-group cross-section uncertainties. The definition of one group effective cross-section uncertainty is the same as given in Section 2.4.

3.2 Test problems

Different stand-alone neutronics single assembly and mini-core test problems have been designed for the purposes of the Exercise I-2 utilising information from the previous OECD coupled code benchmarks BWR PB-2 [6], PWR TMI-1 [7], and Kozloduy-6 VVER-1 000 [8]. More test cases representative of Generation 3 PWR with a 17×17 fuel lattice (instead of 15×15) at HFP conditions are added based on the specification provided by CEA [14]. The added cases include a PWR MOX assembly case.

• 2-D assembly model with reflective boundary conditions. This is the standard model utilised for fuel assembly cross-section generation in LWR analysis. These problems should be analysed at Hot Zero Power (HZP) conditions as well as Hot Full Power (HFP) conditions as defined in Figures 4, 5 and 6.

BWR Assembly Model: PB-2 Assembly type 2

For the BWR single assembly model, assembly type 2 the initial loading of PB-2 plant is chosen for this exercise. The information for the BWR single assembly model is given in Table 16 and Figure 6 through Figure 8. In addition, unit cell information for the BWR test problem is available in Figure 3. For HFP conditions, 40% void with a corresponding moderator density (ρ) of 460.72 $kg/m³$) is considered.

Table 15: PB-2 fuel assembly data

Pellet outer diameter = 1.21158 cm.

Cladding = Zircaloy-2, 1.43002 cm outer diameter \times .09398 cm wall thickness, all rods. Gas plenum length = 40.132 cm.

Figure 6: PB-2 assembly design - Type 2 initial fuel and lattice

All dimensions in [mm]

Figure 7: PB-2 assembly design - Type 2 initial lattice

Figure 8: Control rod blade model and characteristics for PB-2

PWR Assembly Model: TMI-1

The information for the PWR single assembly model is given in Table 16 and Figure 9, and the PWR fuel pin data is given in Figure 4. There are four gadolinia pins containing integral Gd burnable poisons. The actual mixture of the gadolinia pin is $Gd_2O_3+UO_2$ with fuel density of 10.144 g/cm³, the fuel enrichment is 4.12 w/o, and the $Gd₂O₃$ concentration is 2 wt.%.

Parameter	Value
Fuel assembly dimensions	15×15
Number of fuel rods per FA	208
Number of guide tubes per FA	16
Number of instrumentation tubes per FA	
Number of Gd pins per FA	4
Fuel rod pitch, mm	14.427
Fuel rod outside diameter, mm	10.922
Fuel pellet diameter, mm	9.390
Cladding thickness, mm	0.673
Guide tube outside diameter, mm	13.462
Guide tube inside diameter, mm	12.649
Instrumentation tube outside diameter, mm	12.522
Instrumentation tube inside diameter, mm	11.201
Fuel assembly pitch, mm	218.110
Gap between fuel assemblies, mm	1.702

Table 16: Additional TMI-1 assembly data

VVER-1 000 Assembly Model: Kozloduy-6

The information for the VVER single assembly model and compositions data is given in Figure 10 and Table 17.

C. \odot \odot \odot \odot \odot $\ddot{\odot}$ \odot \odot \bigodot $\ddot{\circ}$ \circ \odot Ó \circ \circ \circ \circ \bigodot \odot \circ $\frac{1}{\sqrt{2}}$ $\overline{\circ}$ $\begin{array}{c}\n0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0\n\end{array}$ $\overline{\mathbb{C}}$ $\ddot{\odot}$ \circ \circ \circ $\begin{array}{ccc}\n0 & 0 & 0 \\
\end{array}$ $\begin{array}{c}\n0 \\
0 \\
0\n\end{array}$ \odot \odot $\ddot{\odot}$ $\begin{array}{c}\n0 & 0 & 0 & 0 \\
\end{array}$ $\begin{array}{ccc}\n0 & 0 & 0 & 0 \\
\end{array}$ $\begin{array}{c}\n0 & 0 & 0 \\
0 & 0 & 0\n\end{array}$ $\begin{array}{c}\n0 & 0 & 0 \\
0 & 0 & 0\n\end{array}$ $\begin{array}{c}\n0 & 0 \\
0 & \end{array}$ $\ddot{\odot}$ $0 0 0 0 0 0 0$ $\begin{array}{c}\n0 & 0 \\
0 & \n\end{array}$ $\begin{array}{ccc}\n0 & 0 & 0 & 0 \\
\end{array}$ $\begin{array}{c}\n0 \\
0 \\
0\n\end{array}$ \odot \odot $\ddot{\odot}$ $\begin{matrix} 0 \\ 0 \\ 0 \end{matrix}$ $\begin{array}{c}\n\odot \\
\circ \\
\circ\n\end{array}$ \odot \circ \circ $\ddot{\circ}$ \odot $\ddot{\circ}$ \odot $\overline{\circ}$ $\ddot{\circ}$ $\overline{\circ}$ $\overline{\circ}$ $\bar{\circ}$ $\bar{\circ}$ \odot \overline{C} \Diamond \circ $\begin{array}{ccc}\n0 & 0 & 0 & 0\n\end{array}$ $\begin{array}{c}\n0 & 0 & 0 \\
\end{array}$ \circ $\ddot{\circ}$ \circ \circ \circ \circ \odot \overline{O} \circ \circ \Diamond \overline{O} $\ddot{\odot}$ \circ $\begin{array}{c}\n0 \\
0 \\
0\n\end{array}$ \circ $\begin{array}{c}\n0 & 0 & 0 \\
\end{array}$ \Diamond \circ $\hat{\odot}$ $\begin{array}{c}\n0 \\
0\n\end{array}$ $\begin{array}{c}\n0 \\
0 \\
0\n\end{array}$ \circ \overline{O} \odot $\begin{array}{c}\n0 \\
0 \\
0\n\end{array}$ \circ $\ddot{\circ}$ \odot \overline{O} $\begin{matrix} 0 \\ 0 \end{matrix}$ \circ \overline{O} \odot \circ \circ \odot \circ \overline{O} \circ $\ddot{\circ}$ \odot \odot \overline{O} $\ddot{\odot}$ $\overline{\bigcirc}$ $\begin{array}{c}\n\circ \\
\circ \\
\circ\n\end{array}$ $\ddot{\odot}$ $\ddot{\circ}$ \circ \circ $\ddot{\circ}$ \odot $\ddot{\odot}$ $\begin{array}{ccc}\n\odot & \odot & \odot \\
\odot & \odot & \odot\n\end{array}$ \circ \bigcirc \odot \overline{O}
 \overline{O}
 \overline{O} \circ \odot \odot $\begin{array}{c}\n\circ \\
\circ \\
\circ\n\end{array}$ \circ $\begin{array}{c}\n0 \\
0 \\
0\n\end{array}$ \odot \odot \overline{O} \overline{O} \overline{O} $\ddot{\circ}$ $\begin{array}{ccc} \odot & \odot & \odot \\ \odot & \odot & \odot \end{array}$ $\begin{array}{c} \circ \\ \circ \\ \circ \end{array}$ $\ddot{\odot}$ $\begin{array}{c}\n\circ \\
\circ \\
\circ\n\end{array}$ \circ \circ \odot \odot - water rod \circ - 234 fuel rods with enrichment 3.3 w/o

Figure 10: Kozloduy-6 VVER-100 assembly design and data

- control rod guide tube - 78 fuel rods with enrichment 3.0 w/o \circ \bigcap

Material	Composition
Spacer grids : Steel 08X18H10T; 1.2 % of the FA volume	C - 0.08%, Si-0.8%, Mn-2.0%, Cr-18%, Ni-10%, Ti- 0.6% , S-0.02%, P-0.035%, Fe-to 100%
Central tube: $E110 Zr + 1\% Nb$ alloy	$Zr - 98.722\%$, Nb - 1.0%, Hf - 0.030%, Fe - 0.050%, Ni - 0.025% , Al - 0.008% , Ti - 0.007% , Si - 0.050% , C - 0.050% , N - 0.007% , O - 0.050% , $H - 0.001 \div 0.002\%$
Guide tubes: Steel 08X18H10T	C - 0.08%, Si - 0.8%, Mn - 2.0%, Cr - 18%, Ni - 10%, Ti -0.6% , S -0.02% , P -0.035% , Fe $-$ to 100%
Control rods: B_4C	C- 20%, B_{11} - 64.08%, B_{10} - 15.92%

Table 17: Kozloduy-6 assembly composition data

GEN-III Assembly Models [14]

Information for the GEN-III assembly models is provided by Alain Santamarina and Claire Vaglio-Gaudard from CEA and is presented in Tables 18 and 19. There are four assembly types (test cases) available for this exercise.

Fuel assembly design (HFP conditions)	HFP conditions for GEN-III fuel pin		
Parameter	Value	Parameter	Value
Fuel assembly dimensions	17x17	Fuel temperature (K)	900
Number of fuel rods per FA	265	Cladding temperature (K)	610
Number of guide tubes per FA	24	Moderator (coolant) temperature (K)	584
Fuel rod pitch, mm	12.62	Pressure (coolant) (bars)	155
Fuel rod outside diameter, mm	9.487	Reactor power (MWt)	4 2 5 0
Fuel pellet diameter (mm)	8.253	Boron concentration (ppm)	1 300
Cladding thickness, mm	GEN-III unit cell (HFP conditions)		
Guide tube outside diameter, mm	12.07	Parameter	Value
Guide tube inside diameter, mm	11.27	Unit cell pitch (mm)	12.62
Fuel assembly pitch, mm	216.1	Fuel pellet diameter (mm)	8.253
Gap between fuel assemblies, mm	1.560	Cladding outside diameter (mm)	9.487
GEN-III assembly material compositions		Cladding thickness (mm)	0.578
Spacer grids	Zircalloy-4	Cladding material	Zircalloy-4
Central tube	Zircalloy-4	Gap material	He
Guide tubes	Zircalloy-4	Moderator material	H_2O
	HZP conditions for GEN-III fuel pin		
Fuel temperature (K)	570	Pressure (coolant) (bars)	155
Cladding temperature (K)	570	Reactor power (MWt)	4.250
Moderator (coolant) temperature (K)	570	Boron concentration (ppm)	1 400

Table 18: GEN-III unit cell information and operating conditions

Moderator composition	Isotope	10^{24} at/cm ³	$UO_2Gd_2O_3$ 2.2% ^{235}U	Isotope	10^{24} at/cm ³
(HFP conditions)	H2O	2.340 E-02	composition	U235	4.585E-04
	B10	1.016E-05		U238	2.012E-02
	B11	4.090E-05		O16	4.517E-02
\overline{UOx} 4.2% 235 U	Isotope	1024 at/cm3		GD154	5.808E-05
composition	U235	9.551E-04		GD155	3.952E-04
	U238	2.151E-02		GD156	5.625E-04
	O16	4.493E-02		GD157	4.179E-04
\overline{UOX} 3.2% ^{235}U	Isotope	1024 at/cm3		GD158	6.484E-04
composition	U235	7.277E-04		GD160	5.834E-04
	U238	2.173E-02	$\overline{\rm UO_2Gd_2O_3}$ 1.9% $^{235}{\rm U}$	Isotope	10^{24} at/cm ³
	O16	4.492E-02	composition	U235	3.960E-04
$UOx 2.1\%$ ²³⁵ U	Isotope	1024 at/cm3		U238	2.019E-02
composition	U235	4.775E-04		O16	4.517E-02
	U238	2.198E-02		GD154	5.808E-05
	O ₁₆	4.492E-02		GD155	3.952E-04
				GD156	5.625E-04
				GD157	4.179E-04
				GD158	6.484E-04
				GD160	5.834E-04
MOX 9.8% Pu	Isotope	10^{24} at/cm ³	MOX 6.5% Pu	Isotope	10^{24} at/cm ³
composition	PU238	5.646E-05	composition	PU238	3.750E-05
	PU239	1.226E-03		PU239	8.141E-04
	PU240	5.643E-04		PU240	3.749E-04
	PU241	1.918E-04		PU241	1.274E-04
	PU242	1.755E-04		PU242	1.165E-04
	AM241	2.899E-05		AM241	1.926E-05
	U235	5.349E-05		U235	5.349E-05
	U238	2.080E-02		U238	2.156E-02
	O16	4.620E-02		O16	4.621E-02
MOX 3.7% Pu	Isotope	10^{24} at/cm ³	Zircalloy-4	Isotope	10^{24} at/cm ³
composition	PU238	2.109E-05	composition	SN116	6.556E-05
	PU239	4.579E-04	(impurities are neglected)	SN117	3.433E-05
	PU240	2.108E-04		SN118	1.074E-04
	PU241	7.165E-05		SN119	3.771E-05
	PU242	6.554E-05		SN120	1.420E-04
	AM241	1.083E-05		SN122	1.985E-05
	U235	5.349E-05		SN124	2.442E-05
	U238	2.222E-02		FE56	1.333E-04
	O16	4.622E-02		CR52	6.245E-05
				O16	3.025E-04
				ZR90	2.176E-02
				ZR91	4.692E-03
				ZR92	7.094E-03
				ZR94	7.036E-03
				ZR96	1.110E-03

Table 19: Fuel and cladding composition data for GEN-III assemblies

The assembly descriptions (geometry and materials) are given in Figure 11. The control rods for rodded assembly cases are Ag-In-Cd control rods located in the guide tubes.

Figure 11: GEN-III Assembly descriptions

Type 3: UOX 3.2% ²³⁵U assembly with 20 UO_2 -Gd₂O₃ $(1.9\% \ ^{235}U)$ rods

Type 2: UOX 4.2% ²³⁵U assembly with 12 UO₂ Gd₂O₃ $(2.2\%$ ²³⁵U) rods

Type 4: MOX assembly (without UO_2 -Gd₂O₃ rods)

Based on the agreement, the following output parameters are requested for the specified conditions as a result of the discussions during the UAM-4 workshop:

- a) Assembly k_{inf} and associated uncertainties:
	- for HZP of unrodded case;
	- for HZP of rodded case;
	- for HFP of unrodded case;
	- for HFP of rodded case.
- b) Homogenised two group cross-sections and associated uncertainties (using 0.625 eV as cut-off point);
- c) Covariance matrix for assembly-homogenised two-group cross-sections;
- d) Diffusion coefficients (as defined by Equation 5) and associated uncertainties;
- e) Two-group pin-cell model uncertainties and two-group assembly model uncertainties to show the effect of homogenisation on the uncertainties;
- f) Pin-power distribution and associated uncertainties for:
	- center pin of rodded cases;
	- center pin of unrodded cases
	- corner pin of rodded cases
	- corner pin of unrodded case
- 1-D assembly/reflector model (color set) with reflective boundary conditions on the left boundary and vacuum boundary condition on the right boundary. This is the standard model utilised for reflector cross-section generation in LWR analysis.

The information for the BWR model is given in Figure 12.

Figure 12: PB-2 radial reflector model – dimensions and material compositions

The information for the PWR model is given in Figure 13.

Figure 13: TMI radial reflector model – dimensions and material compositions

All dimensions in [mm]

The SS is SS304 (Density of 8.03 g/cm3; ¹²C - 0.08%, ⁵⁵Mn – 2.00%, ³¹P – 0.05%, ³²S – 0.03%, ²⁹Si - 0.75%, ⁵²Cr – 20.00%, ⁵⁸Ni – 12.00%, ¹⁴N – 0.10%, ⁵⁶Fe – 64.99%).

The information for the VVER model is given in Figure 14.

All dimensions in [mm]

These problems should be analysed at Hot Zero Power (HZP) conditions as well as Hot Full Power (HFP) as defined in Figures 3, 4 and 5. The output uncertainties of the following few-group reflector homogenised parameters will be compared: reflector DFs at the core/reflector interface.

• 2-D assembly color sets (mini-cores). Different mini-core problems were developed involving unrodded and rodded assemblies. Reflective boundary conditions are utilised.

The information for the BWR mini-core is given in Figure 15.

rodded	unrodded
unrodded	rodded

Figure 15: Color-set configuration for PB-2

The information for the PWR mini-cores is given in Figure 16.

Figure 16: Color-set configuration for TMI-1

unrodded	unrodded	unrodded
unrodded	rodded	unrodded
unrodded	unrodded	unrodded

The information for the VVER mini-core is given in Figure 17.

Figure 17: Color-set configuration for Kozloduy-6

These problems should be analysed at Hot Zero Power (HZP) conditions as well as Hot Full Power (HFP) conditions as defined in Figures 3, 4 and 5.

For each problem the participants should present the mini-core multiplication factors (k_{eff}) and the relative pin powers as well as associated uncertainties due to the few-group cross-section generation process within Exercise I-2.

• It is well known that the available clean core measurements at facilities for thermal systems can be used to assess the performance of nuclear data libraries. That is why the critical configuration (core) test problems from the above-mentioned KRITZ-2 LEU critical experiments [48] [49] - KRITZ-2:1, KRITZ-2:13, KRITZ-2:19 (for which measured data is available) – are also utilised. For each problem the participants have to calculate the configuration multiplication factors (k_{eff}) at "room" and "elevated" temperatures and the relative rod powers of the rods for which the measurements were performed as well as the associated uncertainties. By including the uncertainty or covariance information, the analyst can propagate cross-section data uncertainties through sensitivity studies to the final calculated quantities of interest and compare with the measurement uncertainty.

The KRITZ test problems are also designed as 2-D problems, which utilised experimental axial bucklings to be used for accounting of the axial leakage.

Continuous Monte Carlo (MCNP5) solutions with sufficient statistics to assure not only k_{inf} (k_{eff}) but also fission source convergence will be used as reference solutions for the single assembly and different color-set test problems designed for Exercise I-2. The statistical uncertainties in the reference Monte Carlo calculations will be evaluated by the benchmark team. In addition to the continuous energy multi-group (consistent with the input multi-group structures of the lattice physics codes) MCNP5 cases will be performed in order to show the differences in self-shielding effects.

In the calculations of the above-described test problems the participants can use/select their own lattice physics codes. They can utilise their own Sensitivity/Uncertainty (S/U) tools to propagate cross-section uncertainties to calculate quantities of interest in nuclear analysis or the ones available at NEA/OECD and ORNL.

Exercise I-2 propagates input uncertainties obtained from Exercise I-1 and other input uncertainties added in Exercise I-2. The objective of Exercise I-2 is to obtain uncertainty estimates of k_{inf} , and few group homogenised parameters used in core calculations as a function of the uncertainties discussed above.

The output uncertainties of Exercise I-2 are propagated in Exercises I-3, II-3, III-1 and III-3. The major effort is focused on obtaining uncertainties in two-group homogenised parameters. Provision for few-group (more than two-energy group) homogenised parameters and associated uncertainties with few-group structures selected by participants is made.

Chapter 4: Definition of Exercise I-3: core physics

This exercise consists of core calculations to propagate the input uncertainties to output uncertainties as listed below in evaluated core parameters e.g. core reactivity, power distributions, and rod worth. The input uncertainties, which result in uncertainties in prediction of core parameters and which need to be accounted for and propagated, arise from:

- few-group lattice-averaged (homogenised) cross-section uncertainties;
- approximations in the solution of the transport equation (basic modelling approximations);
- numerical simplifications;
- Variations in geometry.

Understanding the uncertainties in key output reactor core parameters associated with steady-state core simulation is important with regard to introducing appropriate design margins and deciding where efforts should be directed to reduce uncertainties [51]. The propagation of the input uncertainties through core calculations to determine uncertainties in output core parameters within the framework of Exercise I-3 requires utilisation of a core simulator code. Participants can use/select their own core simulator codes in conjunction with their own UA and SA tools for the purposes of this exercise.

4.1 Discussion of input, propagated and output uncertainties

In the current established calculation scheme for LWR design and safety analysis the lattice averaged (homogenised) few-group cross-sections are an input to core calculations. The few-group cross-section uncertainties (few-group covariance matrix) should be obtained by participants as output uncertainties within the framework of Exercise I-2. In Exercise I-3 the few-group cross-section uncertainties are input uncertainties and must be propagated to uncertainties in evaluated stand-alone neutronics core parameters. The propagation of the cross-section uncertainties is the most important part of Exercise I-3. All crosssection uncertainties are assumed to follow normal Gaussian distributions and only the first and second moments of the uncertainty distributions i.e. the means and variances/covariances, are to be propagated through the calculations. If some participants want to take part only in Exercise I-3 (since they have already developed their core models for the benchmark problems discussed below) the benchmark team will provide "reference" input uncertainties (few-group cross-sections supplemented with a few-group covariance matrix). These data will include reference two-group cross-section data and associated variance and covariance matrices for the three representative types of LWRs – TMI-1, PB-2 and VVER-1 000.

The other input uncertainties in Exercises I-3 are new uncertainties added during the core stand-alone calculations. The uncertainties, due to the basic modelling simplifications, include the approximations currently accepted in the nuclear industry and regulation for solving the neutron balance equation. The established standard methodology for core calculation in routine LWR design and safety analysis is based on few-group (mostly two-group) diffusion method. Lately, higher-order transport methods (such as S_n , P_n , SP_n, and MOC methods), finer spatial mesh (usually on pin-cell basis) and more energy groups are being applied to core analysis but they have not reached the maturity to be utilised in the industry and regulation as a routine design and safety analysis approach. The error due to use of the diffusion approximation, and subsequently the uncertainty in core parameters due to the use of the diffusion approximation (diffusion vs. higher order transport approximation) can be accessed from the previous NEA/OECD benchmarks. Uncertainties are also introduced by the choice of the spatial discretisation scheme utilised in the core simulator. The commonly used spatial discretisation schemes are the different types of nodal (finitevolume) methods, finite-difference methods, finite-element methods, etc. The participants are responsible for performing spatial discretisation convergence studies with their core simulator codes in order to remove the uncertainties associated with numerical approximations (numerical method uncertainties) and reduce the uncertainties associated with the neutron transport method (physics uncertainties) used in core simulator codes.

There are also uncertainties associated with numerical simplifications in core modelling and variations in core geometry which need to be addressed and propagated. The modelling, numerical and geometry variation uncertainties and their propagation in reactor core calculations will be addressed by the benchmark team in the final report on Phase I. The participants are advised to focus mostly on crosssection uncertainty propagation.

Exercise 3 (Core Physics) computes uncertainties at core level. The Output (O) uncertainties are for specified output parameters for Exercise I-3, used to test (evaluate) the utilised uncertainty method. The suggested output parameters to be compared with their associated uncertainties are k_{eff} , assembly power (radial) distribution, axial core averaged power distribution, axial offset, relative pin power distribution and assembly reaction rates for selected fuel assemblies and axial layers (nodes), control rod worth, and core average (point) kinetic parameters. Special attention is required for the relative power distributions, which are correlated to the normalised values. Concerning pin power, the pin power reconstruction is the main technique used today. The uncertainties associated with pin power reconstruction techniques vary depending on selected methods for intra-nodal homogenous power distribution calculation and for treatment of power form functions.

The propagated Uncertainty parameters (U) are for these parameters, which are selected to be propagated further through the follow-up Exercises in order to calculate the overall resulting uncertainty. In Exercise I-3 the target and output uncertainties are the same. For all uncertainty parameters (output and propagated) in this exercise the following are requested: the best-estimate value of the parameter with associated uncertainties where the associated uncertainties are in terms of standard deviation.

4.2 Test problems

Three-dimensional (3-D) light water reactors, two-GEN-III reactors cores with both UOX and MOX fuel compositions and optional fast reactor test problems are defined to be used within Exercise I-3, to assess the stand-alone neutronics core calculations and to determine the output core parameter uncertainties due to the input few-group lattice-averaged cross-section uncertainties, input geometry uncertainties, code modelling approximation uncertainties, and uncertainties due to numerical simplifications.

The PWR, BWR, & VVER cases are very similar in spectrum; a fast reactor case based on a wellevaluated experiment would broaden the verification of methods for Phase I of the UAM benchmark and is a link to reactors of the next generation. Fast reactor benchmarks are proposed to test and compare state-ofthe-art cross-section sensitivity and uncertainty codes. It also provides a unique set of experimental data on the delayed neutrons effective fraction. It could also be useful in the analysis of the components of k_{eff} uncertainties. Further, the analysis of the experimental set could bring a better comprehension of the validity of covariance matrices to be applied.

SNEAK (fast reactor core problem) is suggested as an optional test case to the test problems for this exercise since it has a unique set of experimental data for k_{eff} uncertainties and can be used as an example of how to calculate uncertainty in k_{eff} . The two high-quality reactor physics benchmark experiments, SNEAK-7A & 7B (Karlsruhe Fast Critical Facility) are part of the International Reactor Physics Benchmark Experiments (IRPhE) database.

The continuous energy Monte Carlo method is used for reference calculations assuming sufficient statistics, which guarantee that k_{eff} and the fission source convergence. The statistical uncertainties in the reference Monte Carlo calculations will be evaluated by the benchmark team. These test problems are developed and/or utilised on two different levels:

1. HZP core test cases defined for BWR PB-2 [6], PWR TMI-1 [7], and VVER-1 000 [8] based on real plant core data. The boundary conditions are zero flux boundary conditions at radial and axial boundaries of the core models.

BWR Core Model: PB-2

The information for the BWR core model is given in Figure 18 through Figure 25. The axial distribution of fuel compositions is given in Figure 23. The participants are advised to divide the active fuel length into 24 equal-distant nodes with a thickness of 15.24 cm each. Top and bottom axial reflectors of the same thickness are added with reflector composition as specified in Figure 12. The control rod positions for the HZP case are provided in [6]. Only fresh fuel assemblies are considered.

Figure 18: PB-2 reactor core cross-sectional view

Figure 19: PB-2 initial core loading

Table 20: PB-2 initial core loading information

Pellet outer diameter = 1.23698 cm.

Cladding = Zircaloy-2, 1.43002 cm outer diameter \times .08128 cm wall thickness, all rods. Gas plenum length $= 40.64$ cm.

Assembly design for Type 1 initial fuel

WIDE-WIDE CORNER								
	2	ı	ı	ı	ı	ı	ı	
	ı	ı	ı	$\overline{2}$	1	ı	1	
	\mathbf{I}	ı	$\mathbf{2}$	$\overline{2}$	$\mathbf{2}$	2	ı	
	ı	$\overline{2}$	$\overline{2}$	$\overline{2}$	$\overline{2}$	$\overline{2}$	ı	
	ı	ı	$\mathbf{2}$	$\overline{2}$	$\overline{2}$	$\overline{2}$	ı	
	\mathbf{I}	ı	2	2	$\mathbf{2}$	ı	1	
	ı	ı	ı	ı	1	ı	ı	

Figure 21: PB-2 assembly design - Type 2 initial fuel

Pellet outer diameter = 1.21158 cm.

Assembly design for Type 2 initial fuel

Cladding = Zircaloy-2, 1.43002 cm outer diameter \times .09398 cm wall thickness, all rods. Gas plenum length $= 40.132$ cm.

Rod	Number of	Pellet density		Stack	Gd_2O_3	$\mathbf{U}\mathbf{O}_2$	Stack
type	rods	$\mathbf{U}\mathbf{O}_2$ (g/cm^3)	$UO_2+Gd_2O_3$ (g/cm ³)	density (g/cm^3)	$\left(\mathbf{g}\right)$	(g)	length (cm)
	26	10.42		10.32	θ	4 3 5 2	365.76
2	11	10.42		10.32	θ	4 3 5 2	365.76
	6	10.42		10.32	0	4 3 5 2	365.76
4		10.42		10.32	0	4 3 5 2	365.76
5A	\overline{c}		10.29	10.19	129	4 1 7 1	365.76
6C			10.29	10.19	117	3 7 7 1	330.20
7E		10.42	10.25	10.28	43	4 2 9 2	365.76
8 _D		10.42	10.25	10.19	129	4 1 7 2	365.76

Figure 22: PB-2 assembly design - Type 3 initial fuel

Pellet outer diameter = 1.21158 cm.

Cladding = Zircaloy-2, 1.43002 cm outer diameter × .09398 cm wall thickness, all rods. Gas plenum length $= 40.132$ cm.

Assembly design for Type 3 initial fuel

WIDE-WIDE CORNER

÷, ķ.

Figure 23: PB-2 - axial variation of the fuel composition

Figure 24: Elevation of core components for PB-2

Figure 25: PB-2 - core orificing and TIP system arrangement

PWR Core Model: TMI-1

The information for the PWR core model is given in Figure 26 through Figure 28. The information about the distribution of fuel assemblies in 1/8 core sector of symmetry is given in Figure 27. Uniform axial distribution of fuel composition for each assembly is specified following the assembly compositions given in Figure 27. Figure 28 shows the TMI-1 assembly design with 8 Gd pins while Figure 9 presents the other TMI-1 design with 4 Gd pins used in the TMI-1 core. The participants are advised to divide the active fuel length into 16 equal-distant nodes with a thickness of 22.32 cm each. Top and bottom axial reflectors with a thickness of 21.84 cm are added with reflector composition as specified in Figure 13. The control rod positions for the HZP case are provided in [7]. Only fresh fuel assemblies are considered. The critical boron concentration for this core is about 1900 ppm.

Figure 26: TMI-1 reactor core cross-sectional view and characteristics

	$8\,$	9	10	11	12	13	14	15
$\, {\rm H}$	$\,1$	$\overline{2}$	$\mathfrak 3$	$\overline{4}$	$\sqrt{5}$	$\sqrt{6}$	$\boldsymbol{7}$	$\,8\,$
$\bf K$		9	$10\,$	11	12	13	14	15
$\mathbf L$			16	17	18	19	$20\,$	21
$\mathbf M$				$22\,$	$23\,$	24	$25\,$	
${\bf N}$					$26\,$	$27\,$	$28\,$	
$\mathbf 0$						$29\,$	A	

Figure 27: TMI-1 – definition of fuel assembly types

A -Type of fuel assembly

Assembly	Characteristics		
1	4.00 w/o	No BP	No Gd pins
\overline{c}	4.95 w/o	3.5 % BP	4 Gd pins
$\overline{\mathbf{3}}$	5.00 w/o	No BP	4 Gd pins
$\overline{\mathcal{L}}$	4.95 w/o	3.5 % BP	4 Gd pins
$\overline{5}$	4.40 w/o	No BP	No Gd pins
6	5.00 w/o	3.5 % BP	4 Gd pins
$\overline{7}$	4.85 w/o	No BP	4 Gd pins
8	4.85 w/o	No BP	4 Gd pins
$\overline{9}$	4.95 w/o	No BP	4 Gd pins
10	4.95 w/o	3.5 % BP	4 Gd pins
11	4.85 w/o	No BP	4 Gd pins
12	4.95 w/o	3.5 % BP	4 Gd pins
13	5.00 w/o	No BP	4 Gd pins
14	5.00 w/o	No BP	8 Gd pins
15	4.95 w/o	No BP	8 Gd pins
16	4.95 w/o	No BP	4 Gd pins
17	4.95 w/o	3.5 % BP	4 Gd pins
18	4.95 w/o	No BP	4 Gd pins
19	5.00 w/o	3.5 % BP	4 Gd pins
20	4.40 w/o	No BP	No Gd pins
21	4.85 w/o	No BP	4 Gd pins
22	4.40 w/o	No BP	No Gd pins
23	4.95 w/o	3.5 % BP	No Gd pins
24	4.95 w/o	No BP	4 Gd pins
25	5.00 w/o	No BP	8 Gd pins
26	5.00 w/o	No BP	4 Gd pins
27	5.00 w/o	No BP	No Gd pins
28	4.95 w/o	3.5 % BP	4 Gd pins
29	$5.00 \ \text{w/o}$	No BP	4 Gd pins

Figure 28: TMI-1 assembly design with 8 Gd pins

Some assemblies in addition to the integral Gd BPs also have discrete BPs located in the guide tubes. The BP material is $Al_2O_3-B_4C$ (see Table 21) and the material of the guide tube is Zircaloy-2.

3.5 wt. % (w/o) B_4C of $Al_2O_3-B_4C$	Nuclide ID	Wt. $\%$
	Al	51.0871
		45.4117
	\subset	0.76076
	10 R	0.50211
	$^{11}\mathbf{R}$	2.2372

Table 21: TMI-1 composition of BP material

VVER-1 000 Core Model: Kozloduy-6

The information for the VVER core model is given in Figure 29 through Figure 31. The participants are advised to divide the active fuel length in 10 equal-distant nodes with a thickness of 35.5 cm each. Top and bottom axial reflectors with a thickness of 23.6 cm are added with reflector composition as specified in Figure 14. The control rod positions for HZP case are provided in [8]. Uniform axial distribution of fuel composition for each assembly is specified following the assembly compositions given in Figure 14. Only fresh fuel assemblies are considered. The critical boron concentration for this core is about 6.4 g/kg.

Figure 29: Kozloduy-6 reactor core cross-sectional view and characteristics

Figure 30: Kozloduy-6 – control rod arrangement

Profiled fuel assembly with enrichment 3.3%

Reflector Assembly

enrichment 2.0% Fuel assembly with enrichment 3.0%

Fuel assembly with

Fuel assembly with enrichment 3.3%

BENCHMARK FOR UNCERTAINTY ANALYSIS IN MODELLING (UAM) FOR DESIGN, OPERATION AND SAFETY ANALYSIS OF LWRs, © OECD 2013 **69**

GEN-III Core Models: UOX core and MOX core [14]

The information for the GEN-III core model was provided by Alain Santamarina and Claire Vaglio-Gaudard from CEA. Unit cell information and operating conditions are given in Figure 11 and Table 18. The GEN-III benchmark for Exercise I-3 corresponds to core calculation [with a stainless steel (SS) heavy reflector] and the study of nuclear data uncertainty propagation for two fresh core loadings:

- UOX core as shown in Figure 32;
- Mixed UOX/MOX core as shown in Figure 33.

The addition of these cases makes it possible to quantify the uncertainty due to reflector (stainless steel) nuclear data on the radial power peak and control rod efficiency, which is a key issue for the PWR GEN-III safety. The design of the assemblies and the material compositions have already been given in Chapter 3, except for the core reflector. The radial reflector is modelled by homogeneous assemblies in SS at the core boundary; beyond this SS reflector, borated water is represented. Concerning the core axial description, the axial reflector at the bottom and the top of the active fuel length is modelled by clads (same diameter, same lattice pitch as fuel assemblies described in Chapter 3) bathed with borated water. Clads are filled with a zircaloy tube (Zr represents 22% and He 78% of the volume) for the lower axial reflector, and with a diluted SS material to model springs (the SS composition will have to be multiplied by 0.2 in order to fill the clad inner part) for the upper axial reflector. The axial reflectors are 20 cm thick. The SS heavy radial reflector is represented on the total core length. The outer boundary conditions are defined as zero albedo.

Parameter	Value	
Fuel assembly pitch, mm	216.1	
Reflector assembly pitch, mm	216.1	
Reflector material (homogeneous)	Steel 304 or borated water	
Total core length, mm	4 600	
Active length, mm	4 200	

Table 22: UOX/MOX core design

Isotope	10^{24} at/cm ³
FE54	3.247E-03
FE56	5.098E-02
FE57	1.177E-03
FE58	1.567E-04
CR50	6.763E-04
CR ₅₂	1.303E-02
CR53	1.478E-03
CR54	3.678E-04
NI58	6.622E-03
NI60	2.551E-03
NI61	1.109E-04
NI62	3.534E-04
NI64	9.005E-05
MN55	1.732E-03
C	3.171E-04
SI28	1.562E-03
SI29	7.910E-05
SI30	5.251E-05
MO95	1.253E-03

Table 24: Stainless steel composition for the reflector

Figure 32: GEN-III UOX core

- $\frac{1}{2}$ UOX 2.1% ²³⁵U assembly UOX 4.2% $\mathrm{^{235}U}$ assembly UOX 3.2% ²³⁵U assembly with 20 UO2-Gd₂O₃ rods UOX 4.2% ²³⁵U assembly with 12 UO2-Gd₂O₃ rods Stainless steel reflector assembly Borated water reflector assembly w
	-

Figure 33: GEN-III MOX core

These problems are to be analysed at Hot Zero Power (HZP) conditions as well as Hot Full Power (HFP). Information for these operating conditions is available in Table 18.

For each problem the participants should calculate the core multiplication factors (k_{eff}) , assembly power (radial) distribution, axial core averaged power distribution, axial offset, relative pin power distribution and assembly reaction rates for selected fuel assemblies and axial layers (nodes),

control rod worth, and core average (point) kinetic parameters as well as associated uncertainties due to core simulation within Exercise I-3.

- 2. Documented experimental benchmark plant cold critical data and critical lattice data.
	- a) BWR experimental plant cold critical data were reported in [57] [58]. The first reference will be provided in electronic format to the interested participants. This EPRI report provides reactor design and operating data for Cycle 1 and Cycle 2 of Quad Cities Unit 1 BWR. The attractive features of these data are that 7x7 BWR assembly designs similar to those utilised in the Cycle 1 of PB-2 are used for Cycle 1 of Quad Cities Unit 1. The benchmark cold critical data were taken during start-ups following outages, which were long enough to assume Xefree conditions and they include core average exposure, reactor water temperature, rod pattern, and rising period. For the purposes of the OECD LWR UAM benchmark the cold critical state at the beginning of Cycle 1 (Exposure $= 0.0$ MWd/t) is used. The participants should calculate keff and associated uncertainties for this state.
	- b) PWR benchmark critical lattice data were reported in [59] [60]. At the beginning of 1970, a series of experiments with heterogeneous lattices of low-enriched $UO₂$ fuel rods was performed at B&W Research Center. For these experiments, the central region of the core closely resembled a 3x3 array of PWR fuel assemblies with fuel rods arranged in a 15x15 lattice (similar to TMI-1 core). Loading 2 is selected for the purposes of the OECD LWR UAM benchmark. The necessary data for modelling can be obtained from [59] [NEA/NSC/DOC (95)03/IV – LEU-COMP-THERM-008]. The participants should calculate keff of the core and relative rod-by-rod power densities (fission rate distributions) of the central assembly (using pin power reconstruction methods available in their core simulator codes) as well as the associated uncertainties in these parameters.
	- c) VVER-1 000 benchmark critical lattice data were reported in [59]. Ten experiments were performed in 1998 at the experimental zero-power reactor LR-0 (Nuclear Research Institute Řež plc, Czech Republic). The core was assembled from 6 VVER-1 000 fuel assemblies and the experiments were carried out at atmospheric pressure and "room" temperature. Case 3 is selected for the purposes of the OECD LWR UAM benchmark. The necessary data for modelling can be obtained from [59] [NEA/NSC/DOC (95)03/IV – LEU-COMP-THERM-086]. The participants should calculate k_{eff} of the core and the associated uncertainties.
- 3. Optional SNEAK 7A&7B benchmark test problems [15].

The main objective of this work is to study the influence of cross-section uncertainties on the evaluation of the accuracy of the β_{eff} computations in the SNEAK 7A and 7B reactors. The β_{eff} measured values are given for both SNEAK 7A and 7B assemblies and for two applied techniques: for a deterministic one with the 252 Cf pseudo-reactivity traverse and for noise. The neutron generation lifetime can be reconstructed from the transfer functions. But for our needs to demonstrate the methodology of uncertainty propagation the test models were simplified.

The detailed models are presented in Figure 34.

Figure 34: Vertical cut of benchmark models for SNEAK 7A and SNEAK 7B assemblies [66]

There are T (shim) and S (safety) rods with heights different from the core size. The 3D models with tubes and cans were simplified by homogenising fuel elements radially and axially, and heights of T, S and TP rods were revised to be equal to the heights of their cores. The R-Z models are kept as benchmark models for coarse approximate studying of main critical parameters and integral functions. The R-Z model for SNEAK 7A contains three physical zones: inner core, outer core with the homogenised shim and safety rods, and the blanket. The R-Z model for SNEAK 7B contains two physical zones: core with the homogenised shim and safety rods and the blanket. Both models are symmetric around the cylinder axis and across the horizontal mid plane. R-Z models are presented in Figures 35 and 36. The material data are described in Tables 26-28. The material contents of the models differ only in the rods homogenised together with core materials but blankets are the same for both R-Z and 3D models.

	SNEAK 7A					
Isotope	Inner Core	Outer core	Blanket			
A ¹	8.00000E-06	1.19060E-03	$0.00000E + 00$			
C	2.60987E-02	2.55387E-02	1.35000E-05			
Cr	2.24230E-03	2.23900E-03	1.10800E-03			
Fe	7.97130E-03	7.98240E-03	3.95490E-03			
H	$0.00000E + 00$	$0.00000E + 00$	$0.00000E + 00$			
Mg	$0.00000E + 00$	$0.00000E + 00$	$0.00000E + 00$			
Mn	1.10900E-04	1.17800E-04	8.75000E-05			
Mo	1.65000E-05	1.45000E-05	1.00000E-05			
Nb	8.90000E-06	7.70000E-06	8.50000E-06			
Ni	1.16640E-03	1.18180E-03	9.84500E-04			
O	2.18462E-02	2.11909E-02	$0.00000E + 00$			
$^{239}\mathrm{Pu}$	2.63740E-03	2.34340E-03	$0.00000E + 00$			
$^{240}\mathrm{Pu}$	2.36900E-04	2.10500E-04	$0.00000E + 00$			
$^{241}\mathrm{Pu}$	2.15000E-05	1.91000E-05	$0.00000E + 00$			
$\mathrm{^{242}Pu}$	1.10000E-06	1.00000E-06	$0.00000E + 00$			
Si	9.33000E-05	9.32000E-05	4.53000E-05			
$^{235}\! \mathrm{U}$	5.86000E-05	2.95800E-04	1.62400E-04			
238 U	7.96040E-03	8.04560E-03	3.99401E-02			

Table 25: The materials for SNEAK 7A R-Z model, 10²⁴ cm-3 [49]

Figure 36: SNEAK 7B R-Z Model [66]

	SNEAK 7B			
Isotope	core	blanket		
A1	1.21120E-03	$0.00000E + 00$		
\mathcal{C}	6.31000E-05	1.35000E-05		
Cr	2.75600E-03	1.10800E-03		
Fe	9.80210E-03	3.95490E-03		
H	7.10000E-06	$0.00000E + 00$		
Mg	9.50000E-06	$0.00000E + 00$		
Mn	6.46000E-05	8.75000E-05		
Mo	1.84000E-05	1.00000E-05		
N _b	8.40000E-06	8.50000E-06		
Ni	1.45940E-03	9.84500E-04		
O	3.31936E-02	$0.00000E + 00$		
^{239}Pu	1.83120E-03	$0.00000E + 00$		
^{240}Pu	1.64500E-04	$0.00000E + 00$		
241 Pu	1.49000E-05	$0.00000E + 00$		
242 Pu	7.00000E-07	$0.00000E + 00$		
Si	1.17400E-04	4.53000E-05		
235 U	2.66300E-04	1.62400E-04		
238 U	1.45794E-02	3.99401E-02		

Table 26: The materials for SNEAK 7B R-Z model, 10²⁴ cm-3 [49]

The 3D models were simplified in order to represent only homogeneous tubes instead of stretched plates modelling. The configurations are presented in Figures 37 and 38 below.

	SNEAK 7A					
	Core	T_{P} rods	Shim/safety			
			rods			
A1	8.00000E-06	6.90000E-06	1.10350E-02			
C	2.60987E-02	2.18819E-02	2.18280E-02			
Cr	2.24230E-03	2.99070E-03	2.02550E-03			
Fe	7.97130E-03	1.07955E-02	7.38070E-03			
H	$0.00000E + 00$	$0.00000E + 00$	$0.00000E + 00$			
Mg	$0.00000E + 00$	$0.00000E + 00$	$0.00000E + 00$			
Mn	1.10900E-04	1.81900E-04	1.60000E-04			
Mo	1.65000E-05	5.60000E-06	$0.00000E + 00$			
Nb	8.90000E-06	3.00000E-07	$0.00000E + 00$			
Ni	1.16640E-03	1.50660E-03	1.22580E-03			
O	2.18462E-02	1.80535E-02	1.65945E-02			
^{239}Pu	2.63740E-03	2.17950E-03	$0.00000E + 00$			
^{240}Pu	2.36900E-04	1.95800E-04	$0.00000E + 00$			
$\overline{^{241}}$ Pu	2.15000E-05	1.78000E-05	$0.00000E + 00$			
$\overline{^{242}}Pu$	1.10000E-06	9.00000E-07	$0.00000E + 00$			
Si	9.33000E-05	1.24800E-04	8.40000E-05			
235 U	5.86000E-05	4.84000E-05	2.27220E-03			
$\overline{^{238}}$ U	7.96040E-03	6.57830E-03	9.06730E-03			

Table 27: Atomic densities for SNEAK 7A rods, 10²⁴ cm-3 [49]

Figure 38: SNEAK 7B 3D heterogeneous model [66]

	SNEAK 7B			
	Core	Shim/safety		
		rods		
A1	1.20000E-05	1.65823E-02		
C	6.59000E-05	2.64000E-05		
Cr	2.80300E-03	2.15350E-03		
Fe	9.95760E-03	7.80860E-03		
H	7.60000E-06	$0.00000E + 00$		
Mg	5.30000E-06	6.31000E-05		
Mn	1.22400E-04	1.58500E-04		
Mo	1.98000E-05	$0.00000E + 00$		
Nb	9.00000E-06	$0.00000E + 00$		
Ni	1.46020E-03	1.44960E-03		
Ω	3.38377E-02	2.49366E-02		
$\overline{^{239}}$ Pu	1.97410E-03	$0.00000E + 00$		
$\overline{^{240}}Pu$	1.77300E-04	$0.00000E + 00$		
$\overline{^{241}}$ Pu	1.61000E-05	$0.00000E + 00$		
$\overline{^{242}}Pu$	8.00000E-07	$0.00000E + 00$		
Si	1.19700E-04	8.88000E-05		
235 U	1.06300E-04	2.31720E-03		
$\overline{^{238}}$ U	1.45684E-02	1.47206E-02		

Table 28: Atomic densities for SNEAK 7B 3D homogenised model, 10²⁴ cm-3 [49]

For each problem the participants should calculate the core multiplication factors (k_{eff}) , assembly power (radial) distribution, axial core averaged power distribution, axial offset, relative pin power distribution and assembly reaction rates for selected fuel assemblies and axial layers (nodes), control rod worth, and core average (point) kinetic parameters as well as associated uncertainties due to core simulation within Exercise I-3.

In summary, this exercise is focused on stand-alone neutronics core calculations and associated prediction uncertainties. It does not analyse uncertainties related to cycle and depletion calculations. No feedback modelling is assumed, thus it will address the propagation of uncertainties associated with fewgroup cross-section generation but not cross-section modelling, i.e. methodologies used for cross-section parameterisation as a function of history and instantaneous variables.

Exercise I-3 propagates the input uncertainty obtained from Exercise I-2 (few-group cross-section covariance matrix), and in addition, introduces new input uncertainties (geometry variations, numerical simplifications, and modelling approximations) to obtain prediction uncertainties in stand-alone core neutronics parameters.

The output uncertainty of Exercise I-3 is propagated in Exercises II-1, II-2, II-3, and III-1 and III-3.

Chapter 5: Requested output

5.1 Introduction

The analysis of results of Phase I will be presented in a benchmark analysis report, which will be available in both a hard copy and an electronic form.

Participants should provide the output information with the given requirements:

- Results should be submitted in an electronic format according to templates, which will be provided to participants by the benchmark team.
- All data should be in SI units.

5.2 Results for Exercise I-1

The following results will be compared for Exercise I-1:

Set 1: Two-dimensional fuel pin-cell test problems representatives of PB-2 BWR, TMI-1 PWR, Kozloduy-6 VVER-1 000 and GEN-III

- Participants are requested to calculate k_{inf} and associated uncertainties (in absolute k% instead of relative k/k %). In addition, participants are requested to identify and list the top five nuclide reactions that contribute the most to the uncertainties in k_{inf} .
- One-group microscopic cross-section (in lieu of reaction rates as suggested) and associated uncertainties homogenised of fuel region only (gap and cladding are excluded).
- One-group effective uncertainties of the top five neutron-nuclide reactions (selected based on the size of their contributions to the uncertainty in k_{inf} .) should be calculated. In addition, participants are requested to include the corresponding variance and un-weighted $(\alpha=1)$ onegroup effective cross-section uncertainties as well.

The primary focus of this output is the "size" of the relative covariance matrix. This effective uncertainty is not to be confused with the uncertainty in k_{inf} . The uncertainty in k_{inf} is used to identify the largest contributors to the changes in k_{inf} . For each reactor type, the relationships between "effective size of the covariance matrix" and the "effective variance" will be further examined.

Set 2: Fuel pin-cell test problems from the KRITZ-2 critical experiments

- Participants are requested to calculate k_{inf} and associated uncertainties (in absolute k% instead of relative k/k %). In addition, participants are requested to identify and list the top five nuclide reactions that contribute the most to the uncertainties in k_{inf} .
- One-group microscopic cross-section (In lieu of reaction rates as suggested) and associated uncertainties homogenised of fuel region only (gap and cladding are excluded).

Participants are encouraged to submit the output as shown in Figure 39. Similar templates are provided to the participants as Excel files for the other two exercises of Phase I. The request for output of Exercise I-1b is provided in Appendix VIII.

Figure 39: Output sample of Exercise 1

Output Sample

5.3 Results for Exercise I-2

The following results will be compared for Exercise I-2:

Set 1: 2-D assembly models including GEN-III with reflective boundary conditions

- Assembly k_{inf} and associated uncertainties:
	- for HZP of unrodded case;
	- for HZP of rodded case;
	- for HFP of unrodded case;
	- for HFP of rodded case.
- Pin-power-distribution and associated uncertainties:
	- center pin of rodded cases;
	- center pin of unrodded cases;
	- corner pin of rodded cases;
	- corner pin of unrodded case.
- Homogenised two group cross-sections and associated uncertainties (using 0.625 eV as cut-off point);

Set 2: 1-D assembly/reflector model

• The output uncertainties of the reflector DFs at the core/reflector interface.

Set 3: 2-D assembly color sets (mini-cores)

- Mini-core multiplication factors (k_{eff}) and associated uncertainties;
- Mini-core relative pin powers and associated uncertainties.

Set 4: Core test problems from the KRITZ-2 LEU critical experiments

- \bullet k_{eff} and associated uncertainties at "room" temperature;
- k_{eff} and associated uncertainties at "elevated" temperature;
- Relative rod powers for the rods and associated uncertainties.

5.4 Results for Exercise I-3

The following results will be compared for Exercise I-3:

Set 1: 3-D core models at HZP conditions (output sample – template for submitting results for the three different reactor types for the first three parameters listed below is provided at the end of this section)

- \bullet k_{eff} and associated uncertainties;
- core axial power distribution and associated uncertainties;
- radial assembly power distribution and associated uncertainties;
- axial offset:
- relative pin power distribution and assembly reaction rates for selected fuel assemblies and axial layers (nodes),
- control rod worth,
- Core average (point) kinetic parameters.

Set 2: BWR experimental plant cold critical data for BOC of Cycle 1

 \bullet k_{eff} and associated uncertainties;

Set 3: PWR benchmark critical lattice data

- \bullet k_{eff} and associated uncertainties;
- Relative rod-by-rod power densities (fission rate distributions) of the central assembly.

Set 4: VVER-1 000 benchmark critical lattice data

 \bullet k_{eff} and associated uncertainties.

A suggestion was made as to include the mean and standard deviation against the mean when submitting results for the power distributions and the associated uncertainties – see Figure 40.

Figure 40: Sample output for core power distribution associate uncertainties

Output samples

- PB-2 BWR results
	- A Peach bottom 2 BWR results from code "xxxxxxxx", exercise I-3
	- B Steady-state results
		- B.1 k_{eff} = 1.00000
		- B.2 Radial power distribution (full core) start each line in column one, leave a blank space in between each number, and use a total of six spaces per number:

0.9999 0.9999 0.9999 0.9999 0.9999

0.9999 0.9999 0.9999 0.99990.9999 0.9999

B.3 Axial power distribution – place all data starting in column one, leave a blank in between each number, and use a total of six spaces per number:

> 0.9999

Forms for radial and axial power distributions are given in Figures 41 and 42.

Figure 41: Form for radial power distribution for PB-2 BWR results

Figure 42: Form for axial power distribution for PB-2 BWR results

Bottom

- TMI-1 PWR results
	- A TMI-1 PWR results from code "xxxxxxxx", exercise I-3
	- B Steady-state results
		- **B.1** $k_{\text{eff}} = 1.00000$
		- B.2 Radial power distribution (full core) start each line in column one, leave a blank space in between each number, and use a total of six spaces per number:

0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.99990.9999 0.9999

B.3 Axial power distribution – place all data starting in column one, leave a blank in between each number, and use a total of six spaces per number:

0.9999 0.9999

Forms for radial and axial power distributions are given in Figures 43 and 44.

Figure 43: Form for radial power distribution for TMI-1 PWR results

Figure 44: Form for axial power distribution for TMI-1 PWR results

Bottom

Top

- Kozloduy-6 VVER results
	- A Kozloduy-6 VVER results from code "xxxxxxxx", exercise I-3
	- B Steady-state results
		- B.1 $k_{\text{eff}} = 1.00000$
		- B.2 Radial power distribution (full core) start each line in column one, leave a blank space in between each number, and use a total of six spaces per number:

0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.99990.9999 0.9999

B.3 Axial power distribution – place all data starting in column one, leave a blank in between each number, and use a total of six spaces per number:

0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.9999 0.9999

Forms for radial and axial power distributions are given in Figures 45 and 46.

Figure 45: Form for radial power distribution for Kozloduy-6 results

Figure 46: Form for axial power distribution for Kozloduy-6 results

Bottom					Top

The samples for GEN-III UOX and MOX cores are similar to the above samples taking into account radial and axial core layouts. These two cores are analysed at both HZP and HFP conditions and results should be provided using the same templates for both conditions. The requested output and templates for SNEAK 7A and SNEAK 7B calculations are described in Appendix VII.

Chapter 6: Conclusions

The objective of the OECD LWR UAM activity is to establish an internationally accepted benchmark framework to compare, assess and further develop different uncertainty analysis methods associated with the design, operation and safety of LWRs. As a result, the LWR UAM benchmark will help to address current nuclear power generation industry and regulation needs and issues related to practical implementation of riskinformed regulation. The realistic evaluation of consequences must be made with best-estimate coupled codes, but to be meaningful, such results should be supplemented by an uncertainty analysis. The use of coupled codes allows us to avoid unnecessary penalties due to incoherent approximations in the traditional decoupled calculations, and to obtain more accurate evaluation of margins regarding licensing limit. This becomes important for licensing power upgrades, improved fuel assembly and control rod designs, higher burn-up and others issues related to operating LWRs as well as to the new Generation 3+ designs being licensed now (ESBWR, AP-1 000, EPR-1 600, etc.). Establishing an internationally accepted LWR UAM benchmark framework offers the possibility to accelerate the licensing process when using best estimate methods.

The proposed technical approach is to establish a benchmark for uncertainty analysis in best-estimate modelling and coupled multi-physics and multi-scale LWR analysis, using as bases a series of well-defined problems with complete sets of input specifications and reference experimental data. The objective is to determine the uncertainty in LWR system calculations at all stages of coupled reactor physics/thermal hydraulics calculations. The full chain of uncertainty propagation from basic data, engineering uncertainties, across different scales (multi-scale), and physics phenomena (multi-physics) will be tested on a number of benchmark exercises for which experimental data are available and for which the power plant details have been released.

This report presents benchmark specifications for Phase I (Neutronics Phase) of the OECD LWR UAM benchmark in a format similar to the previous OECD/NRC benchmark specifications. Phase I consists of the following exercises:

- Exercise 1 (I-1): "Cell Physics" focused on the derivation of the multi-group microscopic crosssection libraries and their uncertainties.
- Exercise 2 (I-2): "Lattice Physics" focused on the derivation of the few-group macroscopic crosssection libraries and their uncertainties.
- Exercise 3 (I-3): "Core Physics" focused on the core steady-state stand-alone neutronics calculations and their uncertainties.

These exercises follow those established in the industry and regulation routine calculation scheme for LWR design and safety analysis. This phase is focused on understanding uncertainties in the prediction of key reactor core parameters associated with LWR stand-alone neutronics core simulation. Such uncertainties occur due to input data uncertainties, modelling errors, and numerical approximations. The chosen approach in Phase I is to select/propagate the most important contributors for each exercise which can be treated in a practical manner. The cross-section uncertainty information is considered as the most important source of input uncertainty for Phase I. The cross-section related uncertainties are propagated through the 3 Exercises of Phase I. In Exercise I-1 these are the variance and covariance data associated with continuous energy cross-sections in evaluated nuclear data files. In Exercise I-2 these are the variance and covariance data associated with multi-group cross-sections used as input in the lattice physics codes. In Exercise I-3 these are the variance and covariance data associated with few-group cross-sections used as input in the core simulators.

Depending on the availability of different methods in the computer code of choice for a given exercise, the related methodological uncertainties can play a smaller or larger role. The participants are responsible for performing convergence studies with their computer codes in order to remove the uncertainties associated with numerical approximations (numerical method uncertainties) and reduce the uncertainties associated with the methods (physics uncertainties) used in their codes. The method related contribution of uncertainty can be derived from earlier benchmarks conducted within NEA/OECD or from the verification (mathematics) and validation (physics) studies performed with the computer code of choice.

In the current LWR standard calculation scheme (utilised in industry and regulation) different modelling approximations are usually used at different stages of the calculation. These approximations are the second important source of input uncertainty. In order to assess the uncertainties due to utilisation of the above-mentioned approximations, one has to decompose and evaluate the errors of these approximations. Evaluation of the uncertainties introduced with such modelling approximations is important because in some situations these approximations work well and in others they do not. This is accomplished by designing appropriate test problems for a given exercise.

Geometry, material properties and manufacturing uncertainties are also an important source of calculation uncertainty. Information for these uncertainties for the different test models as well as on their propagation will be provided in the final report on Phase I by the benchmark team.

Understanding the uncertainties in key output reactor core parameters associated with steady-state core simulation is important with regard to introducing appropriate design margins and deciding where efforts should be directed to reduce uncertainties. The obtained output uncertainties from Phase I of the OECD LWR UAM benchmark will be utilised as input uncertainties in the remaining two phases – Phase II (Core Phase) and Phase III (System Phase).

References

- 1. "Neutronics/Thermal-hydraulics Coupling in LWR Technology", Vol. 3, CRISSUE-S-WP3: Achievements and Recommendations Report, ISBN 92-64-02085-3, NEA No. 5434, OECD, 2004.
- 2. "Uncertainty Analysis in Modelling UAM-2006 Workshop", Summary Record, NEA/NSC/DOC (2006)15.
- 3. "Expert Group on Uncertainty Analysis in Modelling", Mandate and Programme of Work, NEA/NSC/DOC (2006)17.
- 4. "Uncertainty Analysis in Modeling First Workshop (UAM-1)", Summary Record, NEA/NSC/DOC (2007)17.
- 5. Technology Relevance of the "Uncertainty Analysis In Modelling" Project for Nuclear Reactor Safety, NEA/NSC/DOC (2007)15.
- 6. J. Solis, K. Ivanov, B. Sarikaya, A. Olson, and K. Hunt, "BWR TT Benchmark. Volume I: Final Specifications", NEA/NSC/DOC (2001)1.
- 7. K. Ivanov, T. Beam, A. Baratta, A. Irani, and N. Trikouros, "PWR MSLB Benchmark. Volume 1: Final Specifications", NEA/NSC/DOC (99)8, April 1999.
- 8. B. Ivanov, K. Ivanov, P. Groudev, M. Pavlova, and V. Hadjiev, "VVER-1000 Coolant Transient Benchmark (V1 000-CT). Phase 1 – Final Specification". NEA/NSC/DOC (2002)6.
- 9. B. Neykov, F. Aydogan, L. Hochreiter, K. Ivanov (PSU), H. Utsuno, F. Kasahara (JNES), E. Sartori (OECD/NEA), M. Martin (CEA), "OECD/NEA/US-NRC/NUPEC BWR Full-size Fine-mesh Bundle Test (BFBT) Benchmark", Volume I: Specifications, © OECD 2006, NEA No. 6212, [NEA/NSC/DOC\(2005\)5.](http://www2.oecd.org/oecdinfo/info.aspx?app=OLIScoteEN&Ref=NEA/NSC/DOC(2005)5)
- 10. A. Rubin, A. Schoedel, M. Avramova *et al*. "OECD/NRC Benchmark Based on NUPEC PWR Subchannel and Bundle Tests; Vol. I: Experimental Database and Final Problem Specifications", [NEA/NSC/DOC\(2010\)1](http://www2.oecd.org/oecdinfo/info.aspx?app=OLIScoteEN&Ref=NEA/NSC/DOC(2010)1) (November 2010).
- 11. "Core Design and Operating Data for Cycles 1 and 2 of Peach Bottom 2", EPRI NP-563, June 1978.
- 12. "Transient and Stability Tests at Peach Bottom Atomic Power Station Unit 2 at End of Cycle 2", EPRI NP-564, June 1978.
- 13. A. M. Olson, "Methods for Performing BWR System Transient Analysis", Philadelphia Electric Company, Topical Report PECo-FMS-0004-A (1988).
- 14. Claire Vaglio and Alain Santamarina "Assembly and Core Specification of Generation 3 PWR", October 2010, CEA, France.
- 15. E. Ivanov, V. Mastrangelo, A. Duranti, and I. Kodeli, "Specification of Kinetic Parameters Benchmark SNEAK", January 2011, France.
- 16. Dermott E. Cullen, "A Temperature Dependent ENDF/B-VI, Release 8 Cross Section Library", UCRL-TR-202284, INT 2004.
- 17. M.B. Chadwick, P. Obložinský, M. Herman *et al*. "ENDF/B-VII.0: Next Generation Evaluated Nuclear Data Library for Nuclear Science and Technology, Nuclear Data Sheets 107 (2006), Pages 2931-3060*.*
- 18. P. Obložinský, "New ENDF/B-VII Library", Proceeding of PHYSOR-2006 Conference (2006).
- 19. A.J. Koning, O. Bersillon, R.A. Forrest, R. Jacqmin, M.A. Kellett, A. Nouri *et al*. "Status of the JEFF Nuclear Data Library", Proceedings of the International Conference on Nuclear Data for Science and Technology, Santa Fe, 2004, pp. 177–182.
- 20. A.Santamarina *et al*. "The JEFF-3.1.1 Nuclear Data Library", JEFF Report 22, OECD/NEA, No. 6807 (2009).
- 21. K. Shibata, T. Kawano *et al*. "Japanese Evaluated Nuclear Data Library Version 3 Revision-3: JENDL-3.3", [J. Nucl. Sci. Technol. 39, 1125 \(2002\).](http://www.jstage.jst.go.jp/article/jnst/39/11/39_1125/_article/-char/en/)
- 22. A.J. Koning and D. Rochman, TENDL-2008: Consistent TALYS-base Evaluated Nuclear Data Library including covariance data, www.talys.eu/tendl-2008, *OECD/NEA JEF/DOC-1262*, November 2008.
- 23. M. Herman *et al.* "EMPIRE New Reaction Model Code (Version: 2.19 Lodi)", 2005.
- 24. M. Herman, P. Obložinský, R. Capote, M. Sin, A. Trkov, A. Ventura, V. Zerkin, "Recent Developments of the Nuclear Reaction Model Code EMPIRE", Proc. Int. Conf. Nucl. Data for Sci. Tech., Santa Fe, New Mexico, 26 September – 1 October, 2004, AIP 769 (2005) 1184.
- 25. S.F. Mughabghab, "Atlas of Neutron Resonances*"*, Resonance Parameters and Thermal Cross Sections, Elsevier 2006.
- 26. N. M. Larson, "Updated Users' Guide for SAMMY: Multilevel R-matrix Fits to Neutron Data Using Bayes' Equations", ORNL/TM-9179/7, Oak Ridge National Laboratory, Oak Ridge, TN, USA (2006). Also available as ENDF-364/R1.
- 27. L. C. Leal, H. Derrien, N. M. Larson and A. Alpan, Covariance and Sensitivity Data Generation at ORNL", Radiation Protection Dosimetry, Vol. 115, No. 1–4, pp. 133–135 (2005).
- 28. M. L. Williams, B. L. Broadhead, M. E. Dunn, B. T. Rearden, "Approximate Techniques For Representing Nuclear Data Uncertainties," Eighth International Topical Meeting on Nuclear Applications and Utilization of Accelerators (AccApp'07)," Pocatello, ID (30 July -2 August 2007).
- 29. D. Knott, B. H. Forssen, and M. Edenius "CASMO-4. A Fuel Assembly Burn-up Program Methodology", STUDSVIK/SOA-95/2, 1995.
- 30. "HELIOS Methods", StudsvikScandpower, 2000.
- 31. N.Hfaiedh, A.Santamarina, Determination of the Optimized SHEM Mesh for Neutron Transport Calculation, Proc. Int. Conf. of Math. and Comp, React. Phys.and Nucl. Appl. M&C 2005, Avignon, France, 12-15 September 2005.
- 32. M. DeHart, "TRITON: A Two-Dimensional Depletion Sequence for Characterization of Spent Nuclear Fuel", NUREG-0200, ORNL/NUREG/CSD-2/R7 (2004).
- 33. D. F. Hollenbach and P. B. Fox, "CENTRM Validation", ORNL/TM-2004/66, May 2005.
- 34. R.E. MacFarlane and D.W. Muir: The NJOY Nuclear Data Processing System, Version 1. LA12740-M (October 1994) and R.E. MacFarlane: "README0" (December 31 1999).
- 35. NJOY99, < http://www.oecd-nea.org/tools/abstract/detail/PSR-0480/> Data Processing System of Evaluated Nuclear Data Files ENDF Format, in particular the modules ERRORR computes multi-group covariance matrices from ENDF uncertainties and COVR performs covariance plotting and output formatting operations.
- 36. G. Chiba, "ERRORJ A Code to Process Neutron-nuclide Reaction Cross Section Covariance, Version 2.3", (JAEA-Data/Code 2007-007, January 2007) ERRORJ, Multi-group covariance matrices generation from ENDF-6 format for the JENDL 3.3 data, http://www.oecd-nea.org/tools/abstract/detail/NEA-1676/.
- 37. D. Wiarda and M.E. Dunn: PUFF-IV: A Code for Processing ENDF Uncertainty Data into Multi-group Covariance Matrices,' Informal report (2006), and M. E. Dunn and B. L. Broadhead, PUFF-III: A Multigroup Covariance Processing Code for the AMPX Cross Section Processing System, PHYSOR-2000, and USA.
- 38. N. M. Larson, "Updated Users' Guide for SAMMY: Multilevel R-Matrix Fits to Neutron Data Using Bayes' Equations", ORNL/TM-9179/R7 (September 2006, corrected February 2007).
- 39. M. C. Moxon, T. C. Ware, C. J. Dean *et al*. REFIT-2007 A Least-Square Fitting Program for Resonance Analysis of Neutron Transmission, Capture, Fission and Scattering Data Users. Guide for REFIT-2007-08 UKNSF (2007) P216, August 2007.
- 40. M. L. Williams, B.L. Broadhead, C.V. Parks, "Eigenvalue Sensitivity Theory for Resonance Shielded Cross Sections", *Nucl. Sci. and Engr. 138*, pp. 177-191 (2001).
- 41. B. Rearden *et al.* "Applications of the TSUNAMI Sensitivity and Uncertainty Analysis Methodology", Proceeding of ICNC2003 Conference*,* October 20–24, 2003, Japan.
- 42. G. Aliberti *et al*. Nuclear data sensitivity, uncertainty and target accuracy assessment for future nuclear systems, Annuals of Nuclear Energy 33 (2006) 700–733.
- 43. B. L. Broadhead, "SCALE 5.1 Cross-Section Covariance Libraries", ORNL/TM-2005/39, Version 5.1, Vol. I, Book 3, Sect. M19, November 2006.
- 44. SCALE: A Modular Code System for Performing Standardized Computer Analyses for Licensing Evaluations, ORNL/TM-2005/39, Version 5.1, Vols. I-III, November 2006.
- 45. M. L. Williams, D. Wiarda, G. Arbanas, B. L. Broadhead, "SCALE-6.0 Nuclear Data Covariance Library", January 2009, ORNL/TM-2005/39, Version 6, Vol. III, Sect. M19.
- 46. I. Kodeli, "Manual for ANGELO2 and LAMBDA codes", NEA-1264/05 package (2003).
- 47. I. Kodeli and E. Sartori, "ZZ-VITAMIN/COVA, Covariance Data Library", NEA1264/03, 1990.
- 48. "Benchmark on the KRITZ-2 LEU and MOX Critical Experiments Final Report", NEA/NSC/DOC (2005)24, ISBN 92-64-02298-8.
- 49. International Reactor Physics Experiments Database Project (IRPhE), "International Handbook of Evaluated Reactor Physics Benchmark Experiments", NEA/NSC/DOC (2006)1, March 2009 Edition, OECD/NEA.
- 50. I. Kodeli, "Multidimensional Deterministic Nuclear Data Sensitivity and Uncertainty Code System: Method and Application", *Nuclear Science and Engineering*: 138, 45-66 (2001).
- 51. H. Abdel-Khalik and P. Turinsky, "Evaluation of Core Attribute Uncertainties due to Input Data Uncertainties", TANSAO 92, pp. 546-547, 2005.
- 52. M. Jessee, H. Abdel-Khalik and P. Turinsky, "Evaluation of BWR Core Attribute Uncertainties due to Multi-group Cross-Section Uncertainties", Proc. of M&C 2007, Monterey, California, USA (2007).
- 53. T. Horiuchi *et al.* "Error Decomposition of Approximations for BWR Core Calculations", Proc. of PHYSOR-2002, Seoul, Korea, 2002.
- 54. R. Macian, M. Zimmermann, R. Chawla, "Uncertainty Analysis Applied to Fuel Depletion Calculations", Proc. of PHYSOR-2004, Chicago, USA (2004).
- 55. D. Colemann*, et al*. "As-Built Design and Material Characteristics of the TMI-2 Core", NSAC, EPRI, 1986.
- 56. "Delayed Neutron Data for Major Actinides", Volume 6, NEA/WPEC-6, 2002.
- 57. EPRI NP-240 (1976), "Core Design and Operating Data for Cycles 1 and 2of Quad Cities 1".
- 58. A. Karve *et al.* "Uncertainty Estimates in Cold Critical Eigenvalue Predictions", Proc of ANFM-2003 Conference, Hilton Head Island, South Carolina, USA (2003).
- 59. International Handbook of Evaluated Criticality Benchmark Experiments, "International Handbook of Evaluated Reactor Physics Benchmark Experiments", NEA/NSC/DOC (95)03, September 2007 Edition.
- 60. S. Baker, "TransLAT Lattice Physics Code Benchmark to B&W Gadolinia Criticals", Proc. of PHYSOR-2004, Chicago, USA (2004).
- 61. K. Shibata *et al.*"JENDL-4.0: A New Library for Nuclear Science and Engineering," *Journal of Nuclear Science and Technology,* Vol. 48, No. 1, p. 1–30 (2011).
- 62. I. Kodeli, Sensitivity and uncertainty in effective delayed neutron fraction, presented at the UAM-5 Meeting, Stockholm, April 2011.
- 63. A. Zukeran, H. Hanaki, S. Sawada, T. Suzuki, Uncertainty Evaluation of Effective Delayed Neutron Fraction beff of Typical Proto-type Fast Reactor, J. Nucl. Sci. and Technology, Vol. 36, No.1, p. 61-80 (Jan. 1999).
- 64. R. K. Meule Kamp, S. C. van der Marck, Calculating the Effective Delayed Neutron Fraction with Monte Carlo, Nucl. Sci. and Eng., 152, 142-148 (2006).
- 65. L. Snoj, A. Kavčič, G. Žerovnik, M. Ravnik, Calculation of kinetic parameters for mixed TRIGA cores with Monte Carlo, Annals of Nuclear Energy (2009).
- 66. E. Ivanov, S. Pignet, Calculational modelling for the development of βeff experimental based benchmarks Proc M&C 2011, Rio de Janeiro, Brazil, May 8-12, 2011, on CD-ROM, Latin American Section (LAS)/American Nuclear Society (ANS), ISBN 978-85-63688-00-2.
- 67. L. N. Usachev, "Equation for the value of neutrons in a kinetic reactor in perturbation theory", Reactor contruction and reactor theory, (Publishing House of the USSR Academy of Sciences, Moscow), 1955, 251 (in Russian).

Appendix I: List of nuclides with covariance information in 44GROUPV5COV set

Appendix II: List of nuclides with covariance information in 44GROUPV6COV set

Appendix III: List of nuclides with covariance information in 44GROUPV5REC set

Appendix IV: List of nuclides with covariance information in 44GROUPV6REC set

Appendix V: The 44-group structure

The 44-group structure is collapsed from a 238 fine-group structure. The broad-group boundaries subset was chosen for emphasising the key spectral aspects of a typical LWR fuel package. In particular, the broad-group structure was designed to accommodate the following features: two windows in the oxygen cross-section spectrum; a window in the cross-section of iron; the Maxwellian peak in the thermal range; and the 0.3-eV resonance in ²³⁹Pu. The resulting boundaries represent 22 fast and 22 thermal energy groups. The group structure upper energy boundaries are as follows:

Appendix VI: ANGELO and LAMBDA description

Input descriptions for LAMBDA-2.3 code

Ivo Kodeli NEA Data Bank Version 2.3 (February 2010)

The purpose of this programme is to check the mathematical properties of the multi-group covariance matrices. The correlation matrices are tested to determine if any element exceeds unity. The number of positive, negative, and zero eigenvalues is calculated and the matrix is classified on this basis. For LAMBDA the same input as for ANGELO 2.3 can be used.

Read input parameters and options

record 1

Read TITLE (60 characters)

COVR MODULE OF NJOY99 CODE

UTILITY MODULES OF ERRORJ-3.2 CODE SYSTEM

** * * * **EDITCVX** * * * * The editing program of COVERX format files (covariance file * with group structure) by the interactive mode. This is a family of the ERRORJ system. * * ** Manager: M. Ishikawa (JNC)
Maker: K. Kosako (SAEI) * Maker: K. Kosako (SAEI) * ** * Function: * 1) the four rule operations of arithmetic to data (cross sections and covariance matrices) 2) replacement or deletion of requested data 3) addition of new data 4) union of two coverx format files into one 5) division of a coverx format file into files by nuclides ** ** * * * **VIEWCVX** * * * * The viewer program of COVERX format file (covariance file with * group structure) by the interactive mode. This is a family of the ERRORJ system. * * ** Manager: M. Ishikawa (JNC)
Maker: K. Kosako (SAEI) * Maker: K. Kosako (SAEI) * ** * Function: * 1) list of nuclides and reaction types 2) cross sections by each reaction (and relative error or standard deviation) 3) matrix with group structure of absolute or relative covariance * covariance * * 4) correlation matrix * * 5) general information for coverx format file (number of * * groups and nuclides, comment, storage data format, etc.) * ** ** * * $NJOYCOVX$ * * * This program produces the COVERX format file of covariance * * data from the output covariance file of ERRORR module in the * * njoy94 code. * * It is used the COVERX format with date of nov. 1,1996. * * * ** * history * * 12/03/96 create the NJOYCOVX program by K. Kosako (SAEI). * $10/05/97$ automatic execution version following to the ERRORJ code by K. Kosako (SAEI). **

Appendix VII: Perturbation theory and kinetic parameters uncertainty propagation[11](#page-111-0)

Perturbation theory algorithm for sensitivity coefficients calculations [15]

For sensitivity analysis, sensitivity coefficients are the key quantities that have to be evaluated. The sensitivity coefficients are determined in a way that when multiplied by the variation of the corresponding input parameter, i.e. cross-section, they will quantify the impact on the targeted values whose sensitivity is referred to, e.g. the multiplication factor. Sensitivity coefficients can be used for different objectives like uncertainty estimates, design optimisation, determination of target accuracy requirements, adjustment of input parameters, and evaluations of the representativeness of an experiment with respect to a reference design configuration. Any deterministic algorithm for uncertainty propagations is based on the sensitivity coefficients application.

Conventional perturbation theory and sensitivities of keff

The conventional perturbation theory has been developed initially to calculate the reactivity coefficients, which are the variation of the reactivity of the reactor due to a specified variation of a parameter.

Uncertainty propagation, another perturbation theory application, is based on the sensitivity coefficients S_{keff} of the multiplication factor k_{eff} at the parameter P_i , which are expressed as follows:

$$
S_{\text{keff}} = \frac{\Delta k_{\text{eff}} / k_{\text{eff}}}{\Delta P_i / P_i}
$$
\n(A.1)

The sensitivity coefficients are calculated as a small variation of reactivity with the following formula which is correct if bi-orthogonal conditions are satisfied.

$$
\Delta \rho = \frac{\delta k}{k^2} = -\frac{\int \Phi^* \cdot \left(\delta A_{nrg} - \frac{\delta F_{nrg}}{k}\right) \cdot \Phi \cdot dr}{\int \Phi^* \cdot F \cdot \Phi \cdot dr}
$$
(A.2)

where Φ and Φ^* are direct and adjoint solutions of the transport equation. A and F are the absorption and fission operators subsequently.

The first order sensitivity coefficient of the multiplication factor is the ratio of the relative increase of *k* to the infinitesimal relative increase of the parameter p . In this case the parameter p is the cross-section of the nuclide *n* for the reaction *r* in the energy group *g*, which will be addressed as σ_{nrg} .

The sensitivity coefficient of *k* to a specific σ_{nrg} cross-section can be derived by replacing the operator variation δ*A* and δ*F* by restricting the operators to the term involving the specific cross section δ*Anrg* and δF_{nre} , because the cross-section is a parameter involved linearly in the matrix operators, so the sensitivity coefficient will be:

$$
S(k, \sigma_{\text{nrg}}) = \frac{\frac{\partial k}{k}}{\frac{\partial \sigma_{\text{nrg}}}{\partial \sigma_{\text{nrg}}}} = -k \frac{\int \Phi^* \cdot \left(\delta A_{\text{nrg}} - \frac{\delta F_{\text{nrg}}}{k}\right) \cdot \Phi \cdot dr}{\int \Phi^* \cdot F \cdot \Phi \cdot dr}
$$
(A.3)

 $\ddot{}$

 11 Text prepared by Evgeny Ivanov, IRSN.

The overall variation of the multiplication factor, or as shown in the following paragraph of any integral parameter R, due to variation of cross sections σ is then:

$$
\frac{\partial k}{k} = \sum_{j} S_j \frac{\partial \sigma_j}{\sigma_j}
$$
\n(A.4)

According to this formula, knowing the sensitivity coefficients and the variation in the cross-section of interest it is possible to calculate their influence on the integral parameter.

Our further analysis requires the evaluation of the reaction rate balance on the perturbation of crosssections. This could be done using the Generalised Perturbation Theory (GPT), which is an extension of the Conventional Perturbation Theory. An integral parameter can be defined in general as:

$$
R = R(\Phi^*, \Phi) = \frac{\langle \Phi^*, a\Phi \rangle}{\langle \Phi^*, b\Phi \rangle}
$$
(A.5)

where *a* and *b* can be either a constant, a cross-section or an operator and the brackets mean the phase space integration. The parameter R can be linear if it depends on the flux only, or bilinear if it depends on both the direct and the adjoint flux. The direct and the adjoint flux are solutions of the respective direct and adjoint equation reported in the previous paragraph.

The GPT uses the generalised importance function, direct Ψ and adjoint Ψ^* , which are introduced defining the following functional (considering a homogeneous, external source free, problem):

$$
G = R - \left\langle \Psi^*, \left(A - \frac{1}{k} F \right) \Phi \right\rangle - \left\langle \Psi, \left(A^* - \frac{1}{k} F^* \right) \Phi^* \right\rangle \tag{A.6}
$$

The sensitivity of the integral parameter R to the cross-sections can be expressed by the following equation, derived from ∂*G /* ∂^σ :

$$
S(R,\sigma) = \frac{\sigma}{R}\frac{dR}{d\sigma} = \frac{\sigma}{R} \left\{ \frac{\partial R}{\partial \sigma} - \left\langle \Psi^*, \left(\frac{\partial A}{\partial \sigma} - \frac{1}{k} \frac{\partial F}{\partial \sigma} \right) \Phi \right\rangle - \left\langle \Psi, \left(\frac{\partial A^*}{\partial \sigma} - \frac{1}{k} \frac{\partial F^*}{\partial \sigma} \right) \Phi^* \right\rangle \right\}
$$
(A.7)

It is composed by two terms representing the direct and the indirect effect:

$$
S_{DIR}(R,\sigma) = \frac{\sigma}{R} \frac{\partial R}{\partial \sigma}
$$
(A.8)

$$
S_{IND}(R,\sigma) = \frac{\sigma}{R} \left\{ -\left\langle \Psi^*, \left(\frac{\partial A}{\partial \sigma} - \frac{1}{k} \frac{\partial F}{\partial \sigma} \right) \Phi \right\rangle - \left\langle \Psi, \left(\frac{\partial A^*}{\partial \sigma} - \frac{1}{k} \frac{\partial F^*}{\partial \sigma} \right) \Phi^* \right\rangle \right\}
$$
(A.8)

The direct effect corresponds to the variation of the integral parameter *R* with the cross-sections by which *R* is explicitly dependent. The indirect effect instead corresponds to the variation of the parameter *R* with other cross-sections by which R is implicitly dependent through the direct and the adjoint flux.

The formulae given above are used for calculations with ERANOS 2.2 of the reaction rates (ratio of fissions of plutonium and uranium) sensitivity.

Kinetic parameters uncertainty propagation [15]

The interest in kinetic parameters uncertainty analysis appears because of their outstanding role in safety assessment support and safety studies. Indeed, the effective delayed neutron fraction, for example, is

a natural unit of reactivity, so its accuracy is exactly the ultimate limit of reactivity and uncertainty both for control rods worth and for reactivity feedbacks to be validated against experimental data.

The delayed neutron effective fraction is one of the most important parameters to be used in the interpretation of the benchmark calculations. First, β_{eff} will be used for interpretation of reactivity measurements and calculations because all reactivity measurements have been expressed in units of β_{eff} .

Definitely delayed neutron effective fraction will be also used in transient calculations. But concerning the accuracy of β_{eff} itself any conclusions could be only done together with the neutron generation lifetime calculations. The neutron generation lifetime calculations and the applied algorithm are also given hereinafter.

Being defined at the very beginning of reactor technology [67] both β_{eff} and Λ_{eff} have not yet been a subject of the deterministic sensitivity/uncertainty analysis, contrary to the reactivity and criticality parameters. It was because the perturbation formalism had been developed for integral parameters analysis.

Hereinafter we explain the approach that is based on the reduction of the kinetic parameters study problem to the zero dimension reactivity study. It allows us to apply the ordinary perturbation theory for the sensitivity analysis.

For example the neutron generation lifetime could be derived from the asymptotic representation of the transient process. In this case Λeff is only a linear response to the appearance of the *1/v* absorber. The idea of using a *1/v* absorber is not a new one; the method of *1/v* poisoning was used widely for experimental studies of kinetic parameters (with ^{10}B as $1/v$ absorber). But hereinafter we propose to apply similar ideas for the sensitivity-uncertainty analysis.

The neutron generation lifetime is defined typically with the formalism of perturbation theory. As it has been derived in [67] the lifetime of neutrons in respect of their role in chain reaction maintaining could be represented as the following formula:

$$
\Lambda_{\rm eff} =
$$

$$
= \frac{\int\!\!\!\int\!\!\!\int\!\!\!\int\!\!\!\int_{\gamma} \sqrt{m_{n'} \over 2E} \cdot \Phi(\vec{r}, E', \Omega) \cdot \Psi(\vec{r}, E, \Omega) \cdot d\vec{r} \cdot d\Omega \cdot dE' \cdot dE}{\int\!\!\!\int\!\!\!\int\!\!\!\int_{\gamma} \sqrt{\nu \cdot \chi(E' \to E) \cdot \Sigma_f(\vec{r}, E') \cdot \Phi(\vec{r}, E', \Omega) \cdot \Psi(\vec{r}, E, \Omega) \cdot d\vec{r} \cdot d\Omega \cdot dE' \cdot dE}
$$
\n(A.9)

where notations are the same as given before.

But at the same time the neutron generation lifetime relates to the asymptotic behaviour of the neutron field in a supercritical reactor. This fact has been used for the Λ_{eff} experimental measurements. The idea was to use a $1/v$ absorber and the boron as the most close to $1/v$ poison has been applied in the past.

Let us consider the simplified kinetic model without delayed neutrons given below:

$$
\frac{dQ}{dt} \approx \frac{\rho}{\Lambda} Q \to \alpha_p \cdot Q \tag{A.10}
$$

where Q is capacity, ρ is reactivity α_p is the prompt neutron decay constant defined as reactivity to neutron generation lifetime ratio.

$$
\alpha_P \approx \frac{\rho}{\Lambda} \,. \tag{A.11}
$$

Instead of the transient Boltzmann equation we can use the asymptotic one such as the following:

$$
\vec{\Omega} \cdot grad(\Phi_{as}(\vec{r}, E, \vec{\Omega})) + (\Sigma_a(\vec{r}, E, \vec{\Omega}) + \frac{\alpha_{as}}{v}) \cdot \Phi_{as}(\vec{r}, E, \vec{\Omega})
$$
\n
$$
-\int_{E', \Omega'} \Sigma_S(\vec{r}, E' \to E, \vec{\Omega}' \to \vec{\Omega}) \cdot \Phi_{as}(\vec{r}, E', \vec{\Omega}') \cdot dE'd\vec{\Omega}'
$$
\n
$$
=\frac{1}{k_{eff}} - \frac{1}{4\pi} \cdot \int_{E', \Omega'} \chi_p(E', E) \cdot \nu(E') \cdot \Sigma_f(\vec{r}, E') \cdot \Phi_{as}(\vec{r}, E', \vec{\Omega}') \cdot dE'd\vec{\Omega}'
$$
\n(A.12)

where eigenvalue α_{as} is the critical concentration of the $1/v$ pseudo-absorber.

Since the α_{as} corresponds to the $1/k_{\text{eff}}=1.0$ and $\alpha=0$ corresponds to the existing $1/k_{\text{eff}}$ and in assumption small difference between asymptotic and semi-critical flux distribution we can associate them finally with the prompt neutron decay constant.

In this case the eigen-values of α and λ (k_{eff}) could be translated into another by the following approximated expression:

$$
\alpha \approx \frac{\sigma_{1/v}}{k_{\text{eff}}} \cdot \frac{\partial k_{\text{eff}}}{\partial \sigma_{1/v}} \cdot \frac{1}{\sigma_{1/v} (E_T = 0.0253 \text{eV}) \cdot (v(E_T = 0.0253 \text{eV}) = 2200 \cdot \frac{m}{\text{sec}})}
$$
(A.13)

where it was assumed an $1/v$ absorber with cross-section of 1 barn for the thermal point.

Finally we have the following formula for the neutron generation:

$$
\Lambda_{\text{eff}} \approx (1 - k(1/\nu)) \left(k(1/\nu) \cdot 2200 \cdot 10^{-24} \right) \sec , \tag{A.14}
$$

where $k(1/v)$ is poisoned by $1/v$ absorber multiplication factor and then the variation of lifetime can be built in the same way as variation of a reactivity:

$$
\delta \Lambda_{as} \approx 1/\Lambda \sqrt{\sum S_k \cdot \text{cov}(XS) \cdot S_k + \sum S_k (1/\nu) \cdot \text{cov}(XS) \cdot S_k (1/\nu)}
$$
\n(A.15)

The contributions of the different groups in neutron generation lifetime uncertainty could be represented by a response matrix such as the following:

$$
U = COV_{XS} \cdot \left[S_k^0 - S_k^{\text{pert}}\right] \cdot \left[S_k^0 - S_k^{\text{pert}}\right],\tag{A.16}
$$

where COV_{XS} is the covariance matrix of cross-sections by groups, reactions and nuclides and $[S_k^0 - S_k^{pert}]$ are the group-wise differences between sensitivity coefficients.

The values obtained for the SNEAK 7A and SNEAK 7B cases are presented in Figure A.1 through Figure A.8.

Figure A.1: The SNEAK 7A U matrices for group-wise 238U and 239Pu fission for Λ**eff analysis**

Figure A.2: The SNEAK 7A U matrices for group-wise 238U and 239Pu capture for Λ**eff analysis**

Figure A.3: The SNEAK 7A U matrices for group-wise 238U and 239Pu fission spectra for βeff analysis

Figure A.4: The SNEAK 7A U matrices for group-wise 238U and 239Pu nu-bar for Λ**eff analysis**

The figures present only the major contributors to uncertainties that give the following uncertainties of calculated neutron generation lifetime (see Tables A.1 and A.2).

Reaction	239 Pu	238 ^I
Capture	1.36%	0.15%
Fission	2.74%	2.67%
Inelastic	0.77%	0.02%

Table A.1: The main contributors to Λ**eff uncertainty for SNEAK 7A**

The final value calculated assuming the statistical independence of uncertainties gives the δΛ/Λ~4%. The same analysis done for SNEAK 7B gave the same order of magnitude for the final uncertainty.

Figure A.5: The SNEAK 7B U matrices for group-wise 238U and 239Pu fission for Λ**eff analysis**

Figure A.6: The SNEAK 7B U matrices for group-wise 238U and 239Pu capture for Λ**eff analysis**

Figure A.7: The SNEAK 7B U matrices for group-wise 238U and 239Pu nu-bar for Λ**eff analysis**

Figure A.8: The SNEAK 7B U matrices for group-wise 238U and 239Pu fission spectra for Λ**eff analysis**

Table A.2: The main contributors in Λ**eff uncertainty for SNEAK 7B**

The contributions by nuclides are given in Table A.3.

nuclide	SNEAK 7A	SNEAK7 R
238 ^T	2,67%	1.90%
	3,17%	2.59%
	4,15%	3.21%

Table A.3: The main contributors in Λ**eff uncertainty for SNEAK 7A and 7B**

The final value for the Λ_{eff} uncertainty is given as $\delta\Lambda/\Lambda \sim 3.21 \div 4.15\%$ with respect to the case.

The uncertainty of the delayed neutron effective fraction has been proposed to be evaluated by the same technique. By definition, the effective delayed neutron fraction looks like the difference between two eigen-values as shown in the following equation:

$$
\beta_{\text{eff}} \propto \lambda - \lambda_p = \frac{1}{k_{\text{eff}}} - \frac{1}{k_p},\tag{A.17}
$$

where β_{eff} is the effective delayed neutron fraction, k_{eff} and k_p are complete and prompt multiplication factors.

So the difference between the sensitivity coefficients obtained with the χ total and χ prompt should provide the sensitivity of the effective delayed neutron fractions to the cross-section uncertainties.

The response to the variations of the given neutron data parameter σ_m could be represented through the sensitivity coefficients as follows:

$$
\frac{\delta \beta_{\text{eff}}}{\beta_{\text{eff}}} = S_k(\sigma_m) \cdot \frac{\delta \sigma_m}{\sigma_m} - S_{k,p}(\sigma_m) \cdot \frac{\delta \sigma_m}{\sigma_m},
$$
\n(A.18)

where $S_k(\sigma_m)$ is the sensitivity coefficient to the parameter for the complete transport operator, but $S_{k,p}(\sigma_m)$ is the sensitivity coefficient calculated for prompt neutrons only.

But in practice the difference is so small that numerical round-up errors would dominate over the effect to be studied. An alternative approach has been proposed which includes the delayed neutron fraction decomposition like it is done for an experimental study.

It is easy to select two kinds of components of delayed neutron fraction uncertainty. The first one is a contribution of spectra uncertainty and the second one is connected with the impact of uncertainty of nuclide fission balance.

The explanation of the idea is given below.

A delayed neutron effective fraction is defined as a response on changing of neutron spectra from total to prompt (see the equation below)

$$
\beta_{\text{eff}} = \frac{\Delta k}{k} \approx \frac{\chi}{k} \cdot \frac{\partial k}{\partial \chi} \cdot \frac{\Delta \chi}{\chi} = S_{\chi} \cdot \frac{\Delta \chi}{\chi},\tag{A.19}
$$

where $\Delta \chi = \chi - \chi_p = \chi_d$ and S_{χ} is the group-wise sensitivity vector to fission neutron spectra.

The final value is actually the combination of different nuclides combinations:

$$
\beta_{\text{eff}} \approx \sum_{i} S_{\chi;i} \cdot \frac{\chi_{d;i}}{\chi_i},\tag{A.20}
$$

where the subscript i corresponds to the fissile nuclide and the other notations are the same as given above.

The fission spectra uncertainty is expressed in terms of covariance matrices and sensitivity coefficients.

$$
\frac{\delta \beta_{\text{eff}}}{\beta_{\text{eff}}} \approx \left[\sum_{i} S_{\chi i} \cdot Cov_{\chi, i} \cdot S_{\chi; i} \right]^{1/2}, \tag{A.21}
$$

where $Cov_{\chi,i}$ are the covariance matrices of fission spectra. But contributions of fissile nuclides are also uncertain. Thus, the formulae should be added by members, which take into account the neutron fission balance contribution. Finally, it is easy to show that the formula for uncertainty analysis is given by:

$$
\delta \beta \sim (\Sigma \chi \text{cov}(\chi) \Sigma \chi + \text{fun}(\beta^9, \beta^8, \delta \phi^8 / \phi^9))^{1/2},\tag{A.22}
$$

where S_X is the sensitivity to spectra, cov(x) spectra covariance matrix and fun(β^9 , β^8 , $\delta\phi^8$ / ϕ^9) is the contribution of the different nuclides fission balance obtained with Generalised Perturbation Theory which reduces the formula to the following one:

$$
\delta \beta_{\text{eff}} \approx S_{\chi} \cdot \delta \chi + \sum_{m} \beta_{\text{eff}}^{m} \cdot \delta(\frac{f_{m}}{\sum_{n} f_{n}})
$$
\n(A.23)

where $\delta \beta_{\text{eff}} \approx \sum_{m} \delta \left(\frac{f_m}{\sum_{n} f_n} \right)$) I ł l ≈ $\delta \beta_{\text{eff}} \approx \sum_{m} \delta \left(\frac{f_m}{\sum_{n} f_n} \right)$, S_{χ} is the sensitivity to the fission spectra variations ($\delta \chi$), β_{eff}^{m} is the

part of fission on the m-th nuclide and $\frac{1}{n} \sum_{n} f_n$ f_m is the partial m-th nuclide effective delayed neutron fraction.

The uncertainty is given by the covariance matrices, the examples of which are presented in Figure A.9.

The sensitivity coefficients to be combined with covariance matrices are presented in Figure A.10. It is easy to see that only the fast energy region is responsible for delayed neutron fraction uncertainty both in fast and in thermal reactors.

Figure A.10: The "unconstrained" sensitivity to χ **for 239Pu, 238U in SNEAK 7A and for 235U in a thermal reactor**

Equation (A.23) is reduced when the uncertainties of the neutron cross-sections are presented in the form of covariance matrices. Finally, the following equation gives the algorithms for the error propagation:

$$
d(\beta_{\text{eff}}) \approx \sum_{m} S_{\chi}^{m} \cdot \text{cov}(\chi_{m}) \cdot S_{\chi}^{m} + \sum_{m} [\beta_{\text{eff}}^{m}]^{2} \cdot S(m, \frac{f_{m}}{f}) \cdot \text{cov}(\Sigma) \cdot S(m, \frac{f_{m}}{f})
$$
\n(A.24)

where the notations are the same as given above.

The first (direct) part of uncertainty is calculated easily as a dot product of sensitivities and covariances (see in the table below).

The second part of formula was implemented only for the SNEAK 7A case because the GPT calculations were elaborated only for it. The following table demonstrates the major fission balance contributors in the effective delayed neutron fraction.

Case			
SNEAK 7A	3.93		
SNEAK 7B	5.68	1.53	

Table A.4: Effective delayed neutron fraction uncertainty by variations of fission spectra, %

Table A.5: Effective delayed neutron fraction uncertainty by variations of fission balance, %

Reaction		
Capture	0.45%	0.40%
Fission		2.42%

Table A.6 contains the evaluated integral parameters of kinetic parameters calculations' uncertainties due to the available neutron data. The evaluations have been performed by the algorithms explained above on the basis of JENDL 4 [61] covariance data for major nuclides and reactions. The fission spectra uncertainties have been taken from the SCALE 5.1 44 groups' libraries but they were reprocessed in order to assure their consistency [44].

Parameters	SNEAK	SNEAK 7B
Λ , μ s	0.180	0.159
Λ , expected uncertainty	4.15%	3.21%
	0.00395	0.00429
β , expected uncertainty number	2.4%	2.5% (direct)

Table A.6: Benchmark data and expected due to cross-section errors

It should be noted that the first attempt of an error propagation technique has not been verified completely and there appeared some discrepancies between two alternative approaches in the effective delayed neutron fraction studies. The objective is to encourage further detailed analysis of the algorithms and of initial data.

S*ensitivity and uncertainty in beta-effective-alternative approach [62][12](#page-123-0)*

According to the definition, the effective delayed neutron fraction (β_{eff}) is given by:

$$
\beta_{\text{eff}} = \frac{P_{d,\text{eff}}}{P_{\text{eff}}}
$$
\n(A.25)

where:

$$
P_{d,eff} = \int \Phi^+(\vec{r}, E', \Omega') \chi_d(E') dE' d\Omega' \int V_d(E) \Sigma_f(\vec{r}, E) \Phi(\vec{r}, E, \Omega) dE d\Omega d\vec{r}
$$

$$
P_{eff} = \int \Phi^+(\vec{r}, E', \Omega') \chi(E') dE' d\Omega' \int V(E) \Sigma_f(\vec{r}, E) \Phi(\vec{r}, E, \Omega) dE d\Omega d\vec{r}
$$

and Φ and Φ^+ are the direct and adjoint angular fluxes, Σ_f fission cross-section, χ_d , χ the fission spectra (delayed and total), v_d , v are delayed and total nu-bar.

In the SUSD3D code the sensitivity with respect to the prompt and delayed nu-bar are calculated as:

 $\ddot{}$

¹² Text prepared by I. Kodeli, IJS

$$
S_{\nu_{\rho}}(E) = \frac{1}{R} \int d\vec{r} \int d\vec{\Omega} \left[\int d\vec{\Omega} \int dE \cdot \Phi(\vec{r}, \vec{\Omega}, E) \cdot \Phi^*(\vec{r}, \vec{\Omega}', E') \chi_{\rho}(E') \nu_{\rho}(E) \sigma_f^i(E) N^i(\vec{r}) \right]
$$

$$
S_{\nu_d}(E) = \frac{1}{R} \int d\vec{r} \int d\vec{\Omega} \left[\int d\vec{\Omega} \int dE \cdot \Phi(\vec{r}, \vec{\Omega}, E) \cdot \Phi^*(\vec{r}, \vec{\Omega}', E') \chi_d(E') \nu_d(E) \sigma_f^i(E) N^i(\vec{r}) \right]
$$

Beta-effective values can be therefore obtained using the SUSD3D code as a ratio of the two sensitivity terms:

$$
\beta_{\text{eff}} = \frac{\int S_{\nu_d}(E)dE}{\int \left(S_{\nu_p}(E) + S_{\nu_d}(E) \right) dE}
$$
\n(A.26)

Calculation of the sensitivity of beta-effective

The sensitivity and the uncertainties in beta-effective were studied by A. Zukeran *et al*. [63]. Here a different approach is presented, based on the following formulation of the beta-effective given in [64] [65].

$$
\beta_{\text{eff}} = 1 - \frac{k_p}{k} \tag{A.27}
$$

From this expression the corresponding sensitivities can be readily obtained as a (properly weighted) difference between two standard terms:

$$
\frac{\sigma}{\beta_{\text{eff}}}\frac{\partial \beta_{\text{eff}}}{\partial \sigma} = \frac{\sigma}{\beta_{\text{eff}}} \left(-\frac{\partial k_p}{k \partial \sigma} + \frac{k_p \partial k}{k^2 \partial \sigma} \right) = \frac{k_p}{k - k_p} \left(-\frac{\sigma}{k_p} \frac{\partial k_p}{\partial \sigma} + \frac{\sigma}{k} \frac{\partial k}{\partial \sigma} \right) = \frac{k_p}{k - k_p} \left(S_k - S_{kp} \right)
$$

Or alternatively:

$$
\frac{\sigma}{\beta_{\text{eff}}} \frac{\partial \beta_{\text{eff}}}{\partial \sigma} = \frac{k_p}{k \beta_{\text{eff}}} \left(S_k - S_{kp} \right)
$$
(A.28)

Example of results for sneak-7a

A utility programme was prepared to calculate the above sensitivities (A.28) from the two terms S_k and S_{kp} which were obtained using the SUSD3D code in a standard way. An example of the results for the SNEAK-7A benchmark is given in Table A.7. It can be seen that the highest sensitivities of β_{eff} are with respect to the delayed and prompt nu-bar, fission and inelastic cross-sections.

The final folding with the covariance matrices to determine the corresponding uncertainties was also done using the SUSD3D code. Two sets of covariance matrices were used, SCALE-6 data processed by the ANGELO code, and the JENDL-4 [60] data processed by the NJOY/ERRORR code. Note that the SCALE-6 library does not include the covariance data relative to the delayed nu-bar, which is of particular interest for these studies. No covariance information was found on the other hand on the delayed fission spectra in any library, which is expected to have an important contribution to the final uncertainty in β_{eff} . According to the above covariance data the main sources of uncertainty in β_{eff} are the uncertainty in delayed nu-bar $(^{238}U$ and $^{239}Pu)$, inelastic scattering on ^{238}U and fission cross-sections.

The total uncertainty in β_{eff} (not taking into account the uncertainty in the delayed fission spectra) is about 3%.

Material	Sensitivity (%/%)						
	elastic	inelastic	(n,2n)	(n,f)	(n,γ)	v_{del}	$\mathbf{v}_{\mathrm{pmt}}$ *
$U-235$	$-4.51E-4$	$-1.69E-3$		$-3.77E-2$	8.54E-4	$7.82E-2$	$-3.96E-2$
$U-238$	$-2.44E-2$	$-1.74E-1$	$-2.23E-3$	$-1.30E-1$	1.66E-2	$4.61E-1$	$-1.02E-1$
Pu-239	$-5.12E-3$	$-1.27E-2$		$-6.97E-1$	$1.92E-2$	$3.56E-1$	$-7.61E-1$
$Pu-240$	$-5.79E-4$	$-1.19E-3$		$-2.06E-2$	$1.92E-3$	$1.22E-2$	$-2.16E-2$
$Pu-241$	$-4.36E-5$			$-7.54E-3$	$1.66E-4$	9.34E-3	$-8.23E-3$
$Pu-242$				$-7.40E-5$	7.35E-6		$-7.73E-5$

Table A.7: Sensitivity in beta-eff relative to the nuclear data

Table A.8: SNEAK-7A - Uncertainty (in %) in β**eff based on the covariance data from the JENDL-4 evaluation** Total uncertainty is 2.9 %

Table A.9: SNEAK-7A - Uncertainty (in %) in β**eff based on the covariance data from the SCALE-6 library** Total uncertainty is 3.3 %

 $*$ obtained using the covariances for v_{tot}

Participants are requested to submit their results as tables following the format of Tables A.7 through A.9.

Appendix VIII: PWR Burn-up pin-cell benchmark

The general frame of the OECD LWR UAM benchmark consists of three phases with different exercises for each phase. In the Phase I (Neutronics Phase), the Exercise 1 (I-1) "Cell Physics" is focused on the derivation of the multi-group microscopic cross-section libraries.

Since the OECD LWR UAM benchmark establishes a framework for propagating cross-section uncertainties in LWR design and safety calculations, the objective of the extension of this Exercise I (I-1) - I-1b (Cell Burn-up Physics) - is to address the uncertainties in the *depletion calculation* due to the basic nuclear data as well as the impact of processing of nuclear and covariance data.

In the calculations of this exercise, the participants have to utilise their cross-section libraries and associated uncertainties. The SCALE-6.0 covariance library is the recommended source of cross-section uncertainties in short-term to be used and propagated in the OECD LWR UAM benchmark. However, covariance data becoming from other source of uncertainties together with evaluated nuclear data files can be used without any inconvenience. They can utilise their own Sensitivity/Uncertainty (S/U) tools to propagate cross-section uncertainties to calculate quantities of interest in nuclear analysis or the ones available at NEA/OECD.

The purpose of calculation I-1b Exercise is to evaluate criticality values, reactions and collapsed crosssections, and nuclide concentrations computed as well as their uncertainties for depletion in a simple pincell model. The proposed fuel test is a typical fuel rod from the TMI-1 PWR, 15x15 assembly design.

The specification of this test problem is given in Table A.10. Geometry and material specifications are shown in Table A.11.

Fuel temperature (K)	900.0
Cladding temperature (K)	600.0
Moderator (coolant) temperature (K)	562.0
Moderator (coolant) density $(g/cm3)$	0.7484
Reactor power (MWt)	2772.0
Total number of fuel assemblies in the reactor core	177
Number of fuel rods per fuel assembly	208
Active core length (mm)	3571.20

Table A.10: Hot Full Power (HFP) conditions for fuel pin-cell test problem

Table A.11: Configuration of pin-cell test problem

Composition of Zircaloy-4, using natural concentrations, is given by: O (0.125%), Cr (0.10%), Fe (0.21%) , Zr (98.115%), Sn (1.45%). The ²³⁴U atom density is equal to 0.05 wt%.

Figure A.11: The Configuration of unit-cell

The linear fuel density (gU/cm) calculated according to values taken from Tables A.10 and A.11 is 6.2784 gU/cm. The average power density (W/gU) can be assumed to be equal to 33.58 W/gU. The fuel sample is burned for a unique complete cycle, the length of the burn time and subsequent cooling time is given in Table A.12. The specific power and the final cumulative burn-up is also given.

Concerning boundary conditions, participants should apply the following type of boundary conditions in this case:

- For a "*cylindrical pin-cell*" model, reflective boundary conditions are utilised at the center-line boundary while white boundary conditions are applicable at the peripheries of the cell-model;
- For a "*square pin-cell*" model, reflective boundary conditions on all surfaces are applied.

This is shown in Table A.13 through Table A.15 for MCNP, TRITON and SERPENT codes, respectively. Tables A. 13, A.14 and A.15 provide three initial reference inputs. Participants are welcome to revise and modify these inputs. Note that the boron concentration is not considered in this exercise.

Table A.13: Initial MCNP input

Table A.14: TRITON/SCALE input

=t-depl parm=(nitawl,addnux=3) Pin UAMPWR '=== 44groupndf5 '=== read composition uo2 10 den=10.283 1 900 92234 0.05 92235 4.85 92238 95.1 end zirc4 20 1 600 end h2o 30 den=0.7484 1 562 end helium 40 1 900 end end composition '=== read celldata latticecell squarepitch hpitch=0.72135 30 fuelr=0.46955 10 gapr=0.4791 40 cladr=0.5464 20 end end celldata '=== '=== read depletion -10 end depletion '=== read burndata power=33.58179038 burn=30 down=0 nlib=1 end end burndata '=== read model Infinite-lattice pin model (one-fourth) read parm prtflux=no drawit=yes echo=yes xnlib=4 run=yes collapse=yes prtmxsec=no prtbroad=yes sn=4 inners=4 outers=200 epsilon=1e-4 epseigen=1e-3 end parm read materials 10 1 ! test pin ! end 20 1 ! clad ! end 30 2 ! water ! end 40 0 ! gap ! end end materials read geom global unit 1 cylinder 10 0.46955 cylinder 20 0.4791 cylinder 30 0.5464 cuboid 40 4p0.72135 media 10 1 10 media 40 1 20 -10 media 20 1 30 -20 media 30 1 40 -30 boundary 40 4 4 end geom read bounds all=refl end bounds end model end '=== =shell copy stdcmp_mix0010 %RTNDIR%\composicion_mix0010 end

```
% --- Pin-cell burnup calculation ----------------------------
set title "Pin-cell burnup calculation"
% --- Pin definition:
pin 1
fuel 0.469550
void 0.479100
clad 0.546400
water
% --- Geometry:
surf 1 sqc 0.0 0.0 0.721350
cell 1 0 fill 1 -1
cell 2 0 outside 1
% --- Fuel (composition given in atomic densities):
mat fuel -10.283 burn 1
92234.09c -1.69425E-04
92235.09c -1.63641E-02
92238.09c -3.16818E-01
8016.09c -6.66648E-01
% --- Zircalloy cladding:
mat clad -6.560
40000.06c -0.9823
50000.06c -0.0145
26000.06c -0.0021
24000.06c -0.0010
72000.06c -0.0001
% --- Water (composition given in atomic densities):
mat water -0.7484 moder lwtr 1001
 1001.06c -0.1119
8016.06c -0.8881
% --- Thermal scattering data for light water:
therm lwtr lwj3.11t
% --- Cross section library file path:
% --- Cross section library file path:
set acelib "/xs/sss_jeff31u.xsdata"
% --- Periodic boundary condition:
set bc 3
% --- Group constant generation:
% universe = 0 (homogenization over all space)
% symmetry = 12
% 2-group structure (group boundary at 0.625 eV)
set gcu 0
set sym 12
set nfg 2 0.625E-6
% --- Neutron population and criticality cycles:
set pop 2000 500 20
% --- Geometry and mesh plots:
plot 3 500 500
mesh 3 500 500
% --- Decay and fission yield libraries:
set declib "/xs/sss_jeff31.dec"
set nfylib "/xs/sss_jeff31.nfy"
```

```
Table A.15: SERPENT input
```

```
% --- Reduce energy grid size:
set egrid 5E-5 1E-9 15.0
% --- Cut-offs:
set fpcut 1E-6
set stabcut 1E-12
% --- Options for burnup calculation:
set bumode 2 % CRAM method
set pcc 1 % Predictor-corrector calculation on
set xscalc 2 % Cross sections from spectrum
set printm 0 % No material compositions
% --- Depletion steps:
% --- Depletion steps:
% Cycle 1
set powdens 25.00E-3
dep butot
0.10000
0.50000
1.00000
1.50000
2.00000
2.50000
3.00000
3.50000
4.00000
4.50000
5.00000
5.50000
6.00000
6.50000
7.00000
7.50000
8.00000
8.50000
9.00000
9.50000
10.00000
10.50000
11.00000
11.50000
12.00000
12.50000
13.00000
13.50000
14.00000
14.50000
15.00000
20.00000
25.00000
30.00000
35.00000
40.00000
45.00000
50.00000
55.00000
60.00000
61.28000
% --- Decay after fuel is removed from the reactor
dep decstep
365 % 1. year
365 % 2. year
365 % 3. year
365 % 4. year
365 % 5. year
365 % 6. year
365 % 7. year
365 % 8. year
365 % 9. year
365 % 10. year
3650 % 20. year
3650 % 30. year
3650 % 40. year
3650 % 50. year
```
NEA/NSC/DOC(2013)7

Requested output

Participants are required to calculate the following results and associated uncertainties at these time-steps:

Set 1: Criticality values

 k_{inf}

In addition, participants are required to identify five nuclide reactions that contribute the most to the uncertainty in k_{inf} .

If it is possible, contribution of χ , ν and others (angular distributions, etc.) should be also reported.

Set 2: Reaction rates and collapsed cross-sections

Reaction rates and uncertainties for major isotopes:

- capture reaction rates for 235,238 U and 239,240,241 Pu
- fission reaction rates for ^{235,238}U and ^{239, 240, 241}Pu

In addition, a set of two-group macroscopic cross-sections and associated uncertainties for the homogenised pin-cell are required: absorption, fission, nu-fission cross-sections and diffusion coefficient.

Note: The thermal energy cut-off is $E_{thermal} = 0.625eV$

Set 3: Number densities

Table A.16 gives a list of isotopes for which concentrations and associated uncertainties are requested.

Actinides (15)	Fission products (36)		
92 233	42 095	62 147	
92 234	43 099	62 148	
92 235	44 101	62 149	
92 236	44 106	62 150	
92 238	45 103	62 151	
93 237	47 109	62 152	
94 238	55 133	62 154	
94 239	55 134	63 151	
94 240	55 135	63 153	
94 241	55 137	63 154	
94 242	57 139	63 155	
95 241	58 140	64 154	
95 243	58 142	64 155	
96 244	58 144	64 156	
96 246	60 142	64 158	
	60 143	64 160	
	60 145		
	60 146		
	60 148		
	60 150		

Table A.16: Benchmark nuclides